

APPROXIMATION AND CONVERGENCE OF THE FIRST INTRINSIC VOLUME

NIST 2014

H. EDLSBRUNNER AND F. PAUSINGER

IST AUSTRIA

- I AN EXAMPLE
- II INTRINSIC VOLUME
- III PERSISTENT HOMOLOGY
- IV CONVERGENCE

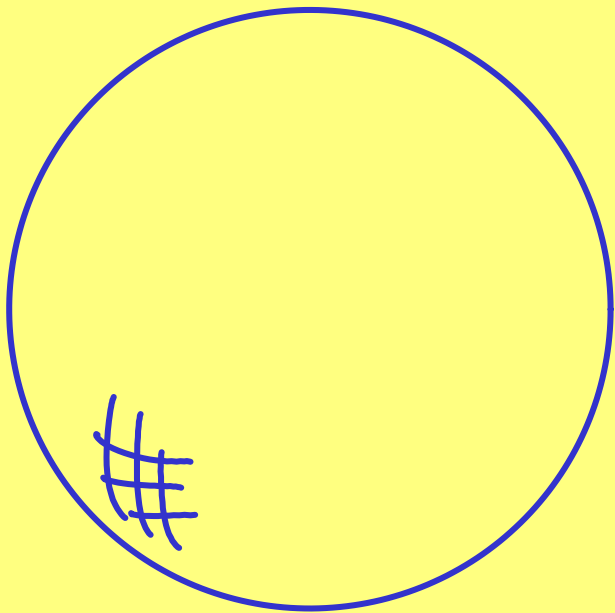
I AN EXAMPLE

II INTRINSIC VOLUME

III PERSISTENT HOMOLOGY

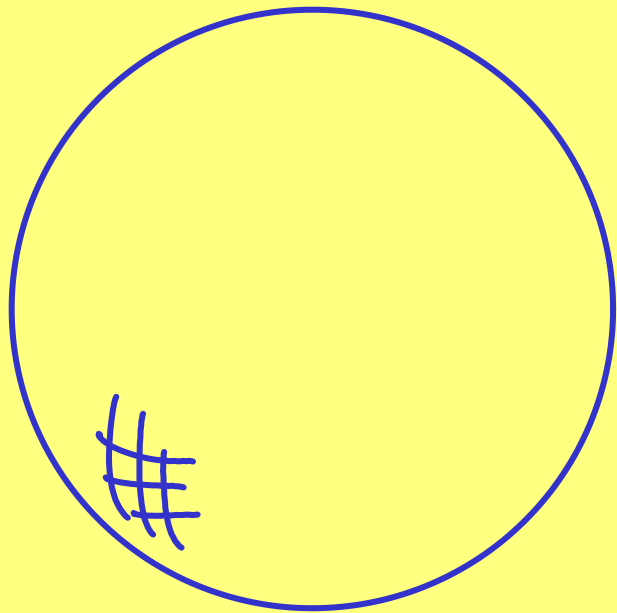
IV CONVERGENCE

I.1 VOLUME OF UNIT BALL

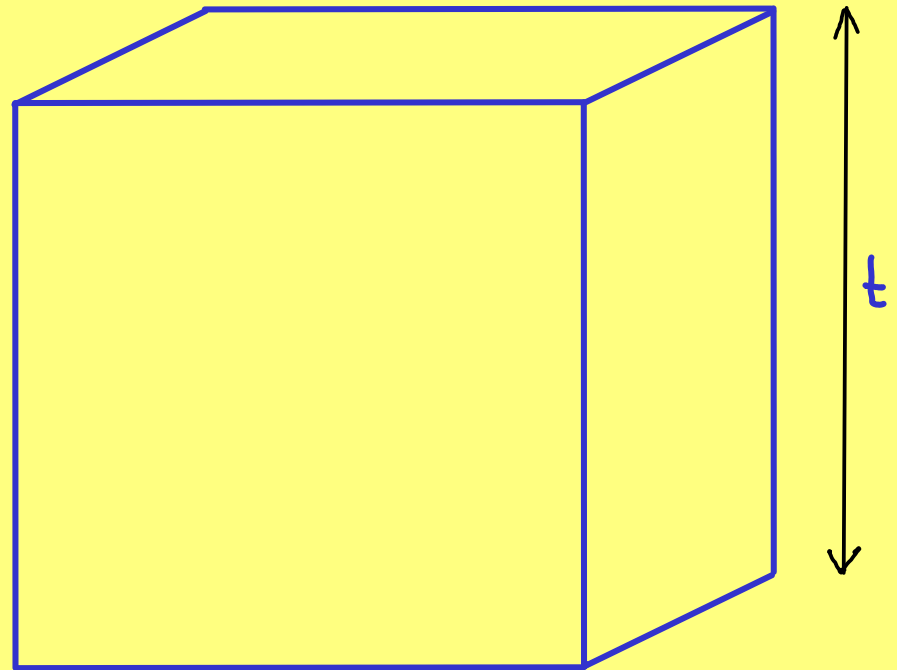


$$B^3 : \|x\| \leq 1.$$

I.1 VOLUME OF UNIT BALL

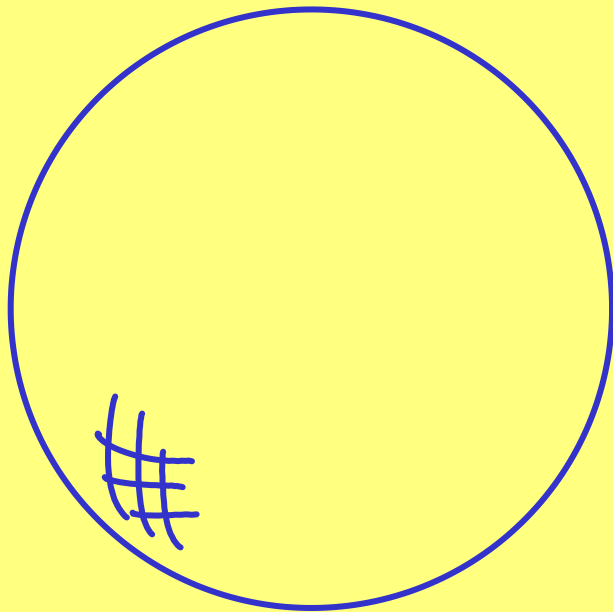


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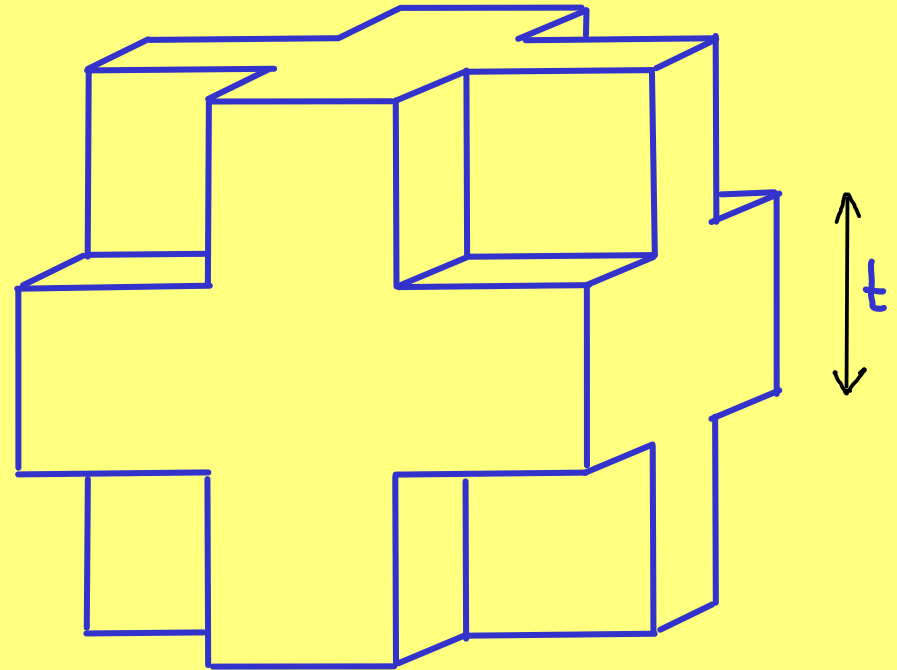


B_t^3 is resolution- t approximation

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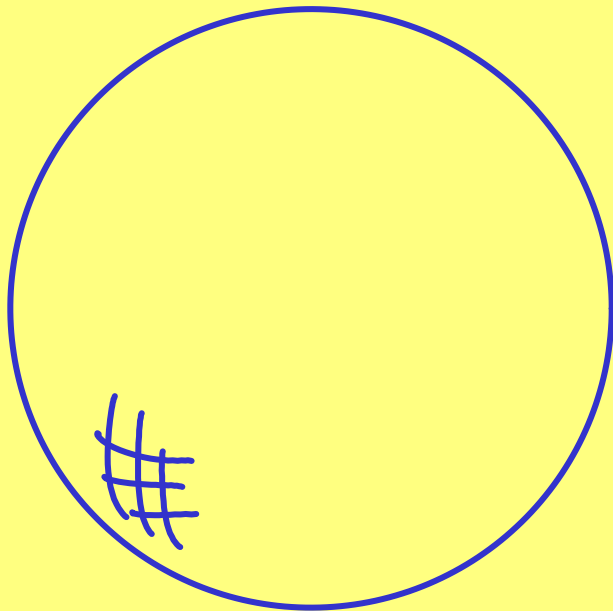


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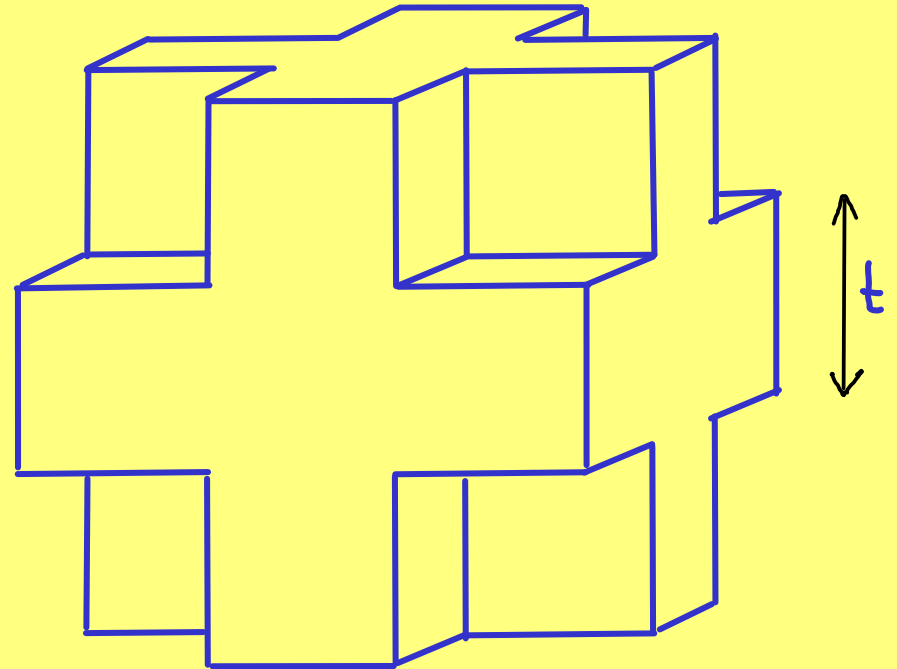


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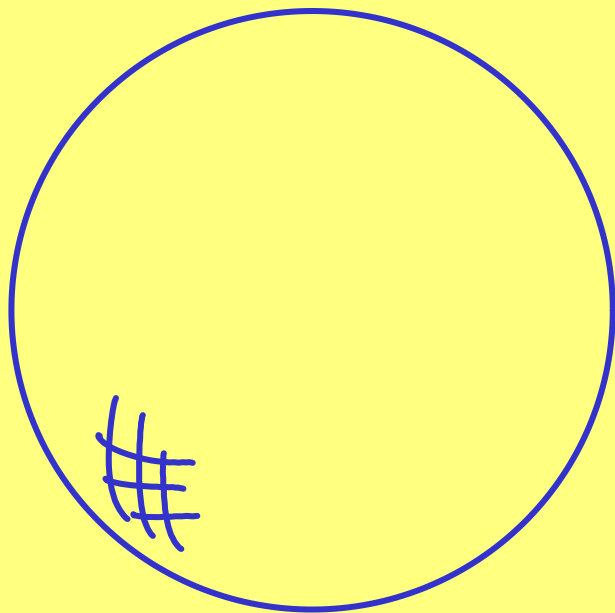
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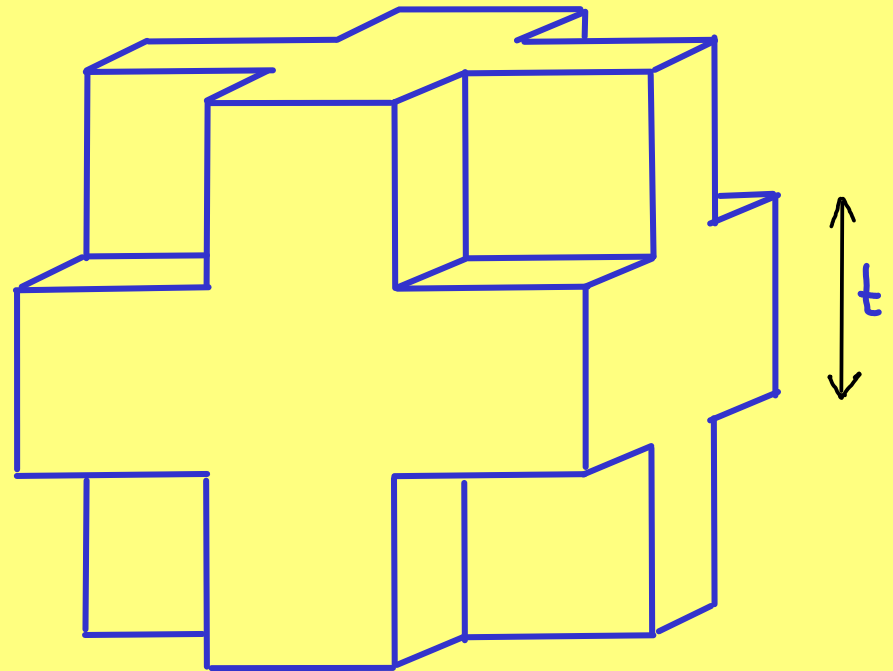
B_t^3 is resolution- t approximation

$$\lim_{t \rightarrow 0} \text{Vol}(B_t^3) = \lim_{t \rightarrow 0} t^3 \#(B^3 \cap t\mathbb{Z}^3) = \text{Vol}(B^3) = \frac{4}{3}\pi$$

I.2 AREA OF UNIT BALL

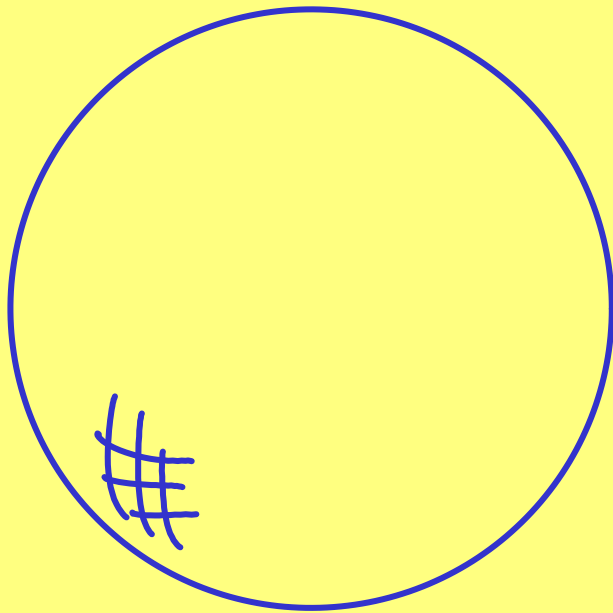


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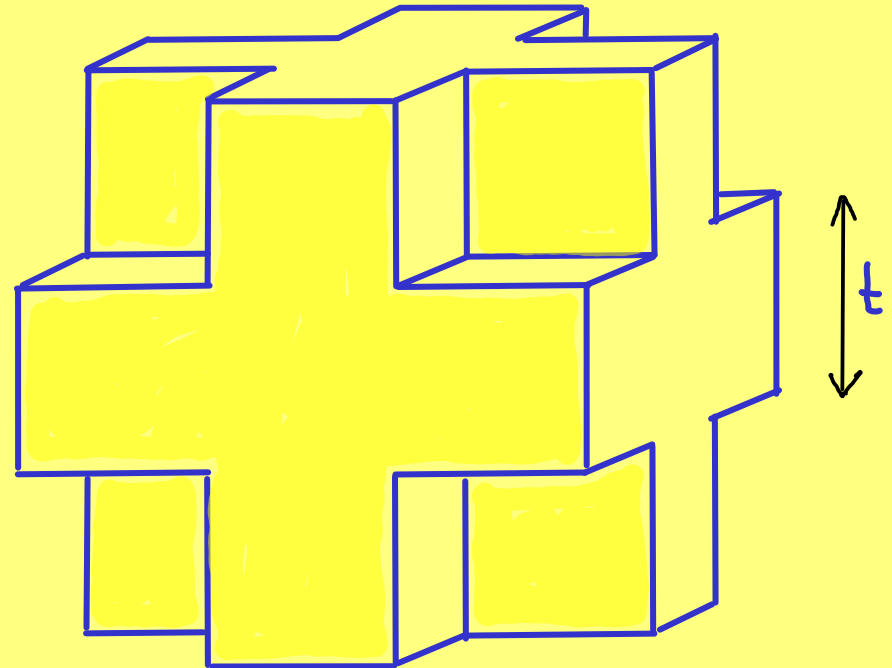


\mathbb{B}_t^3 is resolution- t approximation

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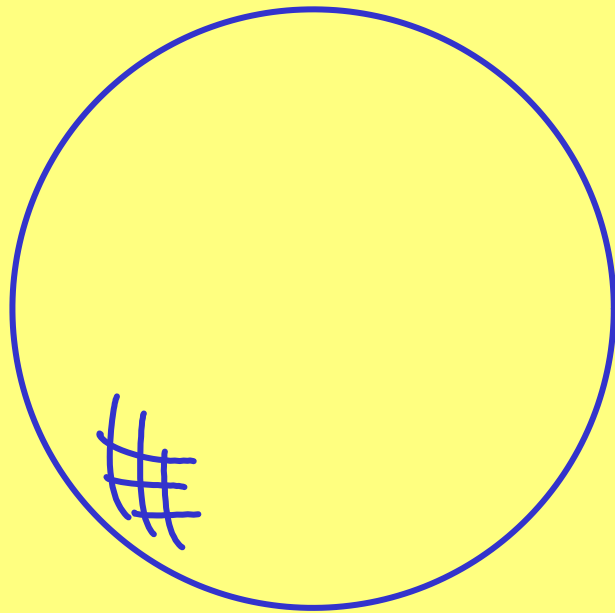


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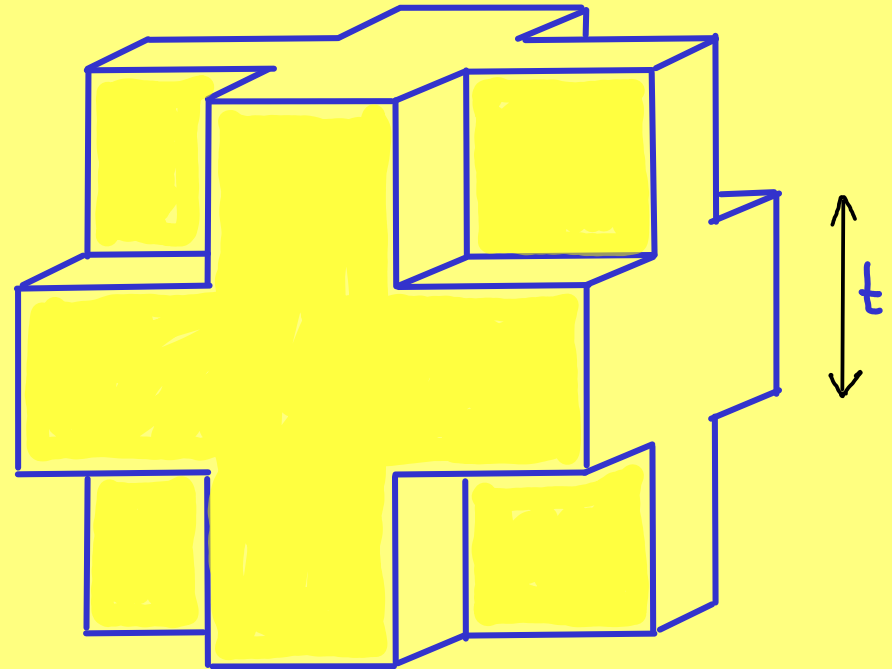


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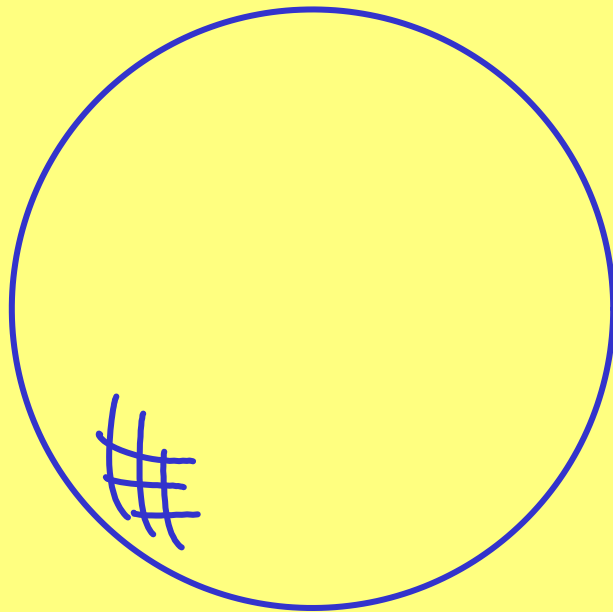
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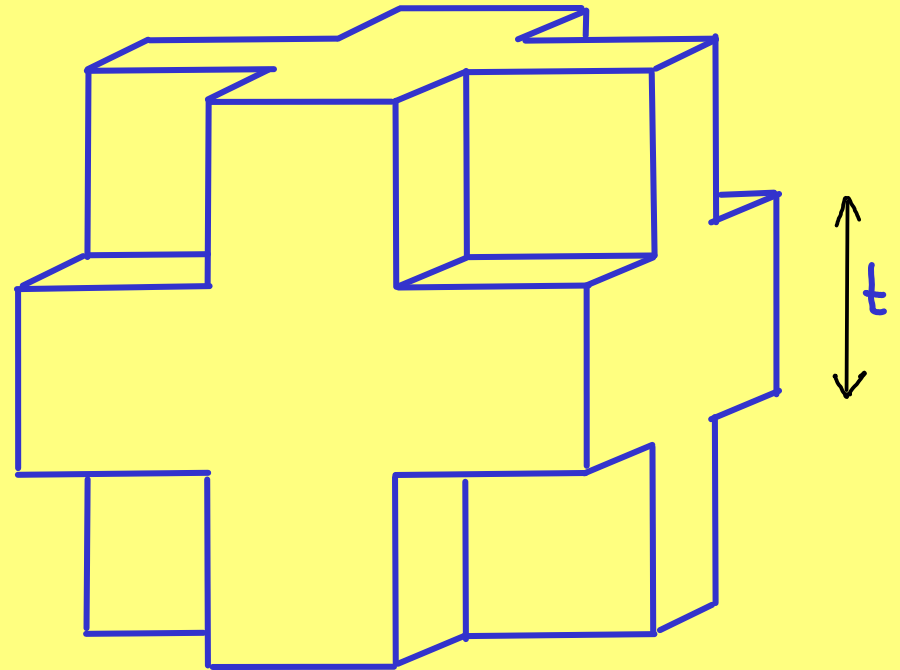
B_t^3 is resolution- t approximation

$$\lim_{t \rightarrow 0} \text{Area}(B_t^3) = \lim_{t \rightarrow 0} 6t^2 \#(B^2 \cap t\mathbb{Z}^2) = 6 \text{Area}(B^2) = 6\pi$$

I.3 MEAN CURVATURE OF UNIT BALL

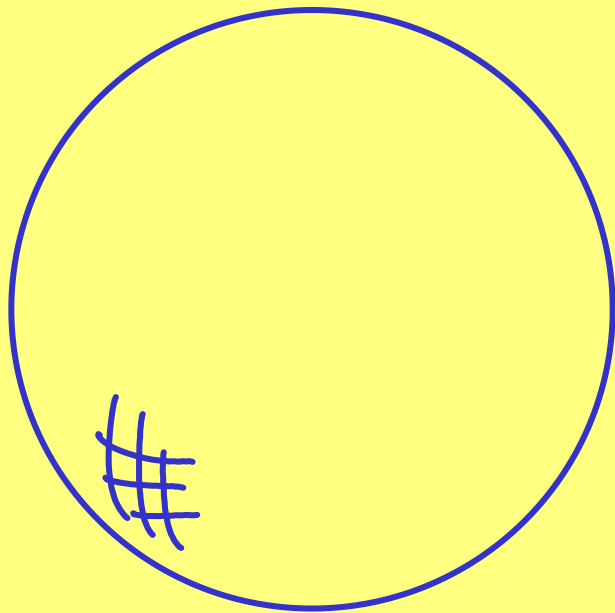


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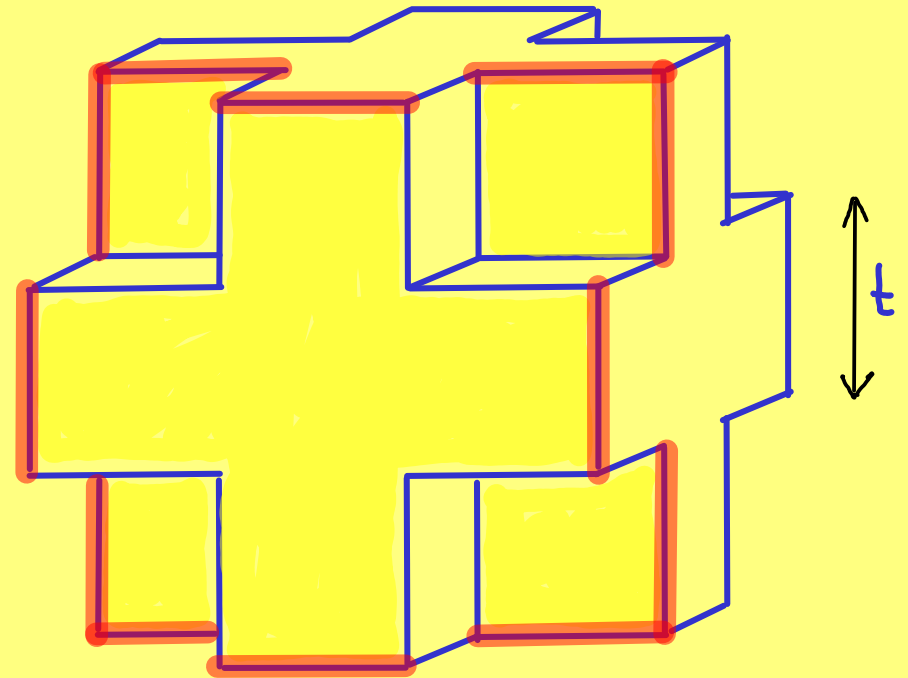


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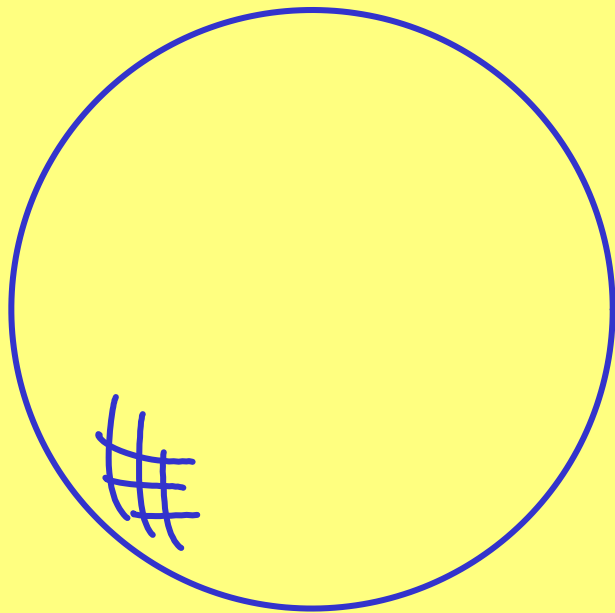


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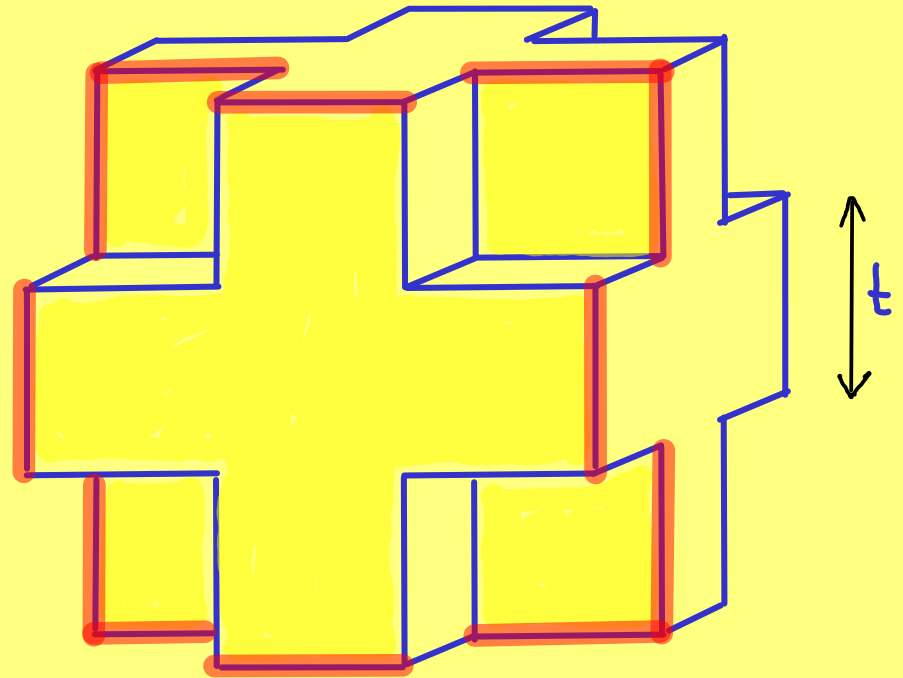


B_t^3 is resolution- t approximation

I.3 MEAN CURVATURE OF UNIT BALL



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B_t^3 is resolution- t approximation

$$\lim_{t \rightarrow 0} \text{Mean}(B_t^3) = \lim_{t \rightarrow 0} 3\pi t \#(B_t^1 \cap \mathbb{Z}^1) = 3\pi \text{Length}(B^1) = 6\pi$$

I AN EXAMPLE

II INTRINSIC VOLUME

III PERSISTENT HOMOLOGY

IV CONVERGENCE

II.1 STEINER POLYNOMIAL

(1796-1863)

$$M \subseteq \mathbb{R}^n.$$

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in \mathbb{R}^3 : $V_3 = \text{volume}$, $V_1 = \frac{1}{\pi}$ mean curvature

$V_2 = \frac{1}{2}$ area, $V_0 = \frac{1}{4\pi}$ Gaussian curvature

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Hadwiger (1951): characterization theorem.

Weyl (1939): generalization to tubes.

Federer (1969): to positive reach.

II.2 GRASSMANIAN

(1809-1877)

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$$\begin{array}{ccc} \mathcal{L}_k^n & \subseteq & \mathcal{E}_k^n \\ / & & | \\ \text{linear} & & \text{affine} \end{array} \quad \text{spaces of } k\text{-planes in } \mathbb{R}^n$$

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Haar measure normalized s.t.

$$\nu(\mathcal{L}_k^n) = 1,$$

$$\mu(E \in \mathcal{E}_k^n \mid E \cap B^n \neq \emptyset) = b_{n-k}.$$

II.3 CROFTON FORMULA

(1826-1915)

$$V_{n-k}(M) = c_{k,n} \cdot \int_{E \in \mathcal{E}_k^n} \chi(M \cap E) dE$$

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$$V_{n-k}(M) = \frac{c_{k,n}}{\binom{n}{k} \frac{b_n}{b_k \cdot b_{n-k}}} \int_{E \in \mathcal{E}_k^n} \chi(M \cap E) dE$$

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$$\frac{\binom{n}{k} b_n}{b_k \cdot b_{n-k}}$$

$$V_{n-k}(\mathbb{B}^n) = \binom{n}{k} \frac{b_n}{b_k}.$$

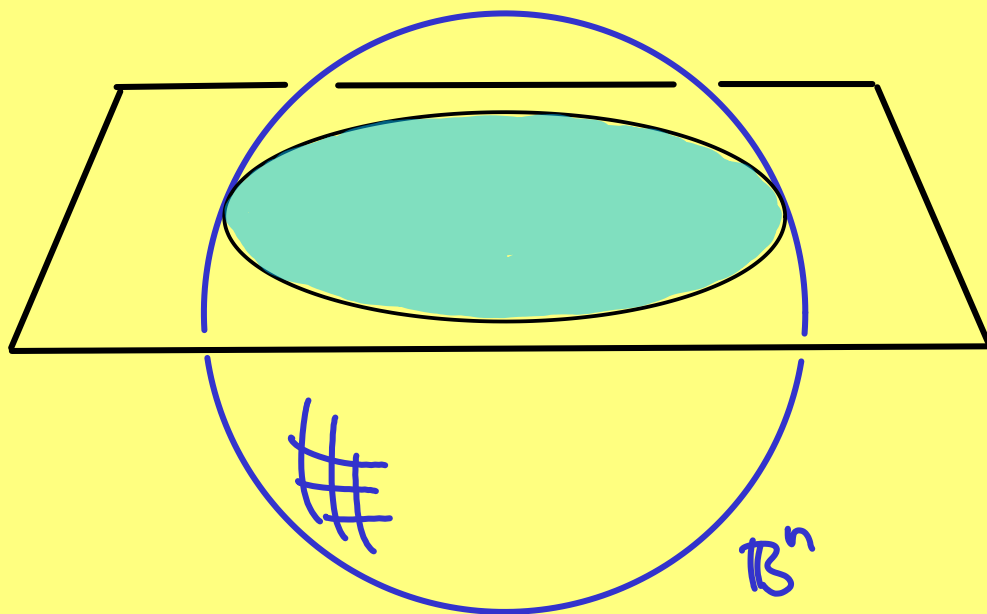
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I AN EXAMPLE

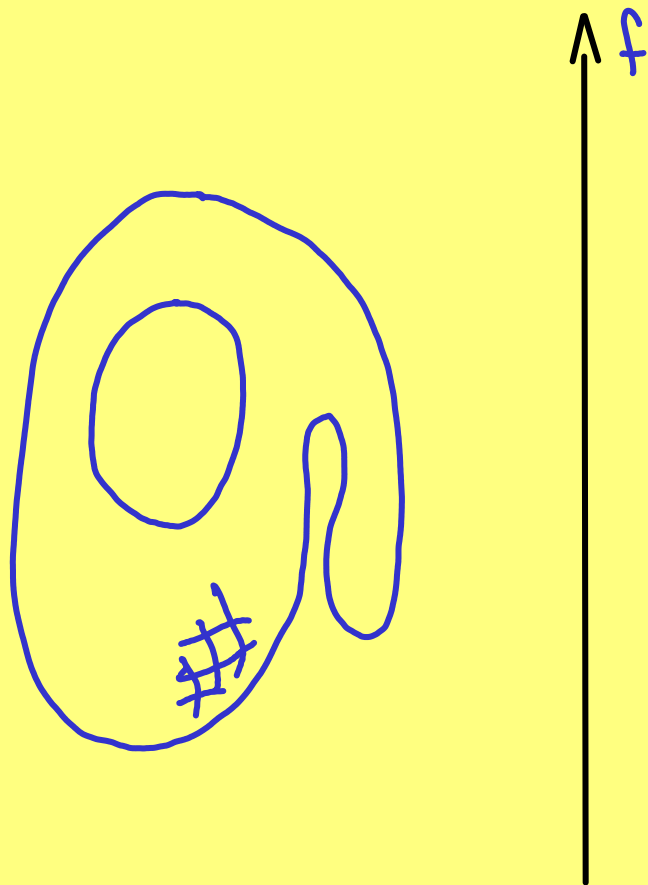
II INTRINSIC VOLUME

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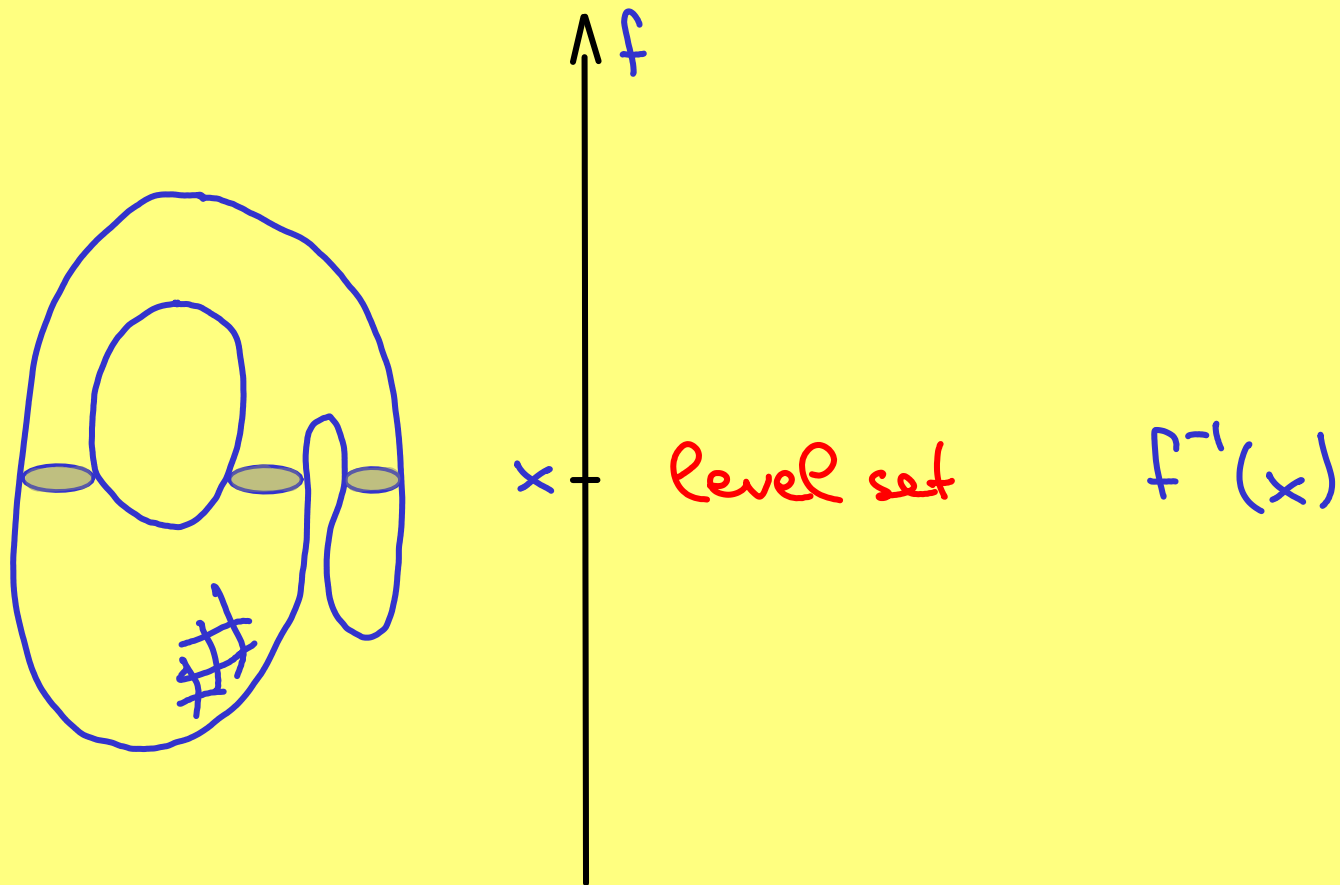
IV CONVERGENCE

III.1 HEIGHT FUNCTION

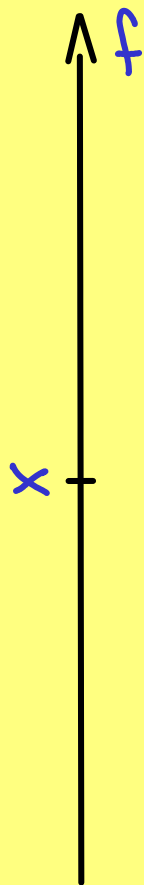
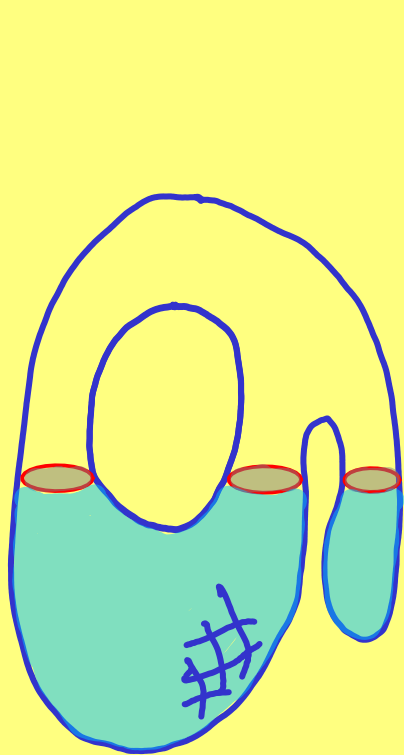
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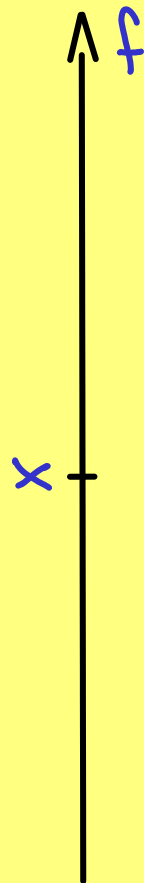
level set

$$f^{-1}(x)$$

sublevel set

$$f^{-1}(-\infty, x]$$

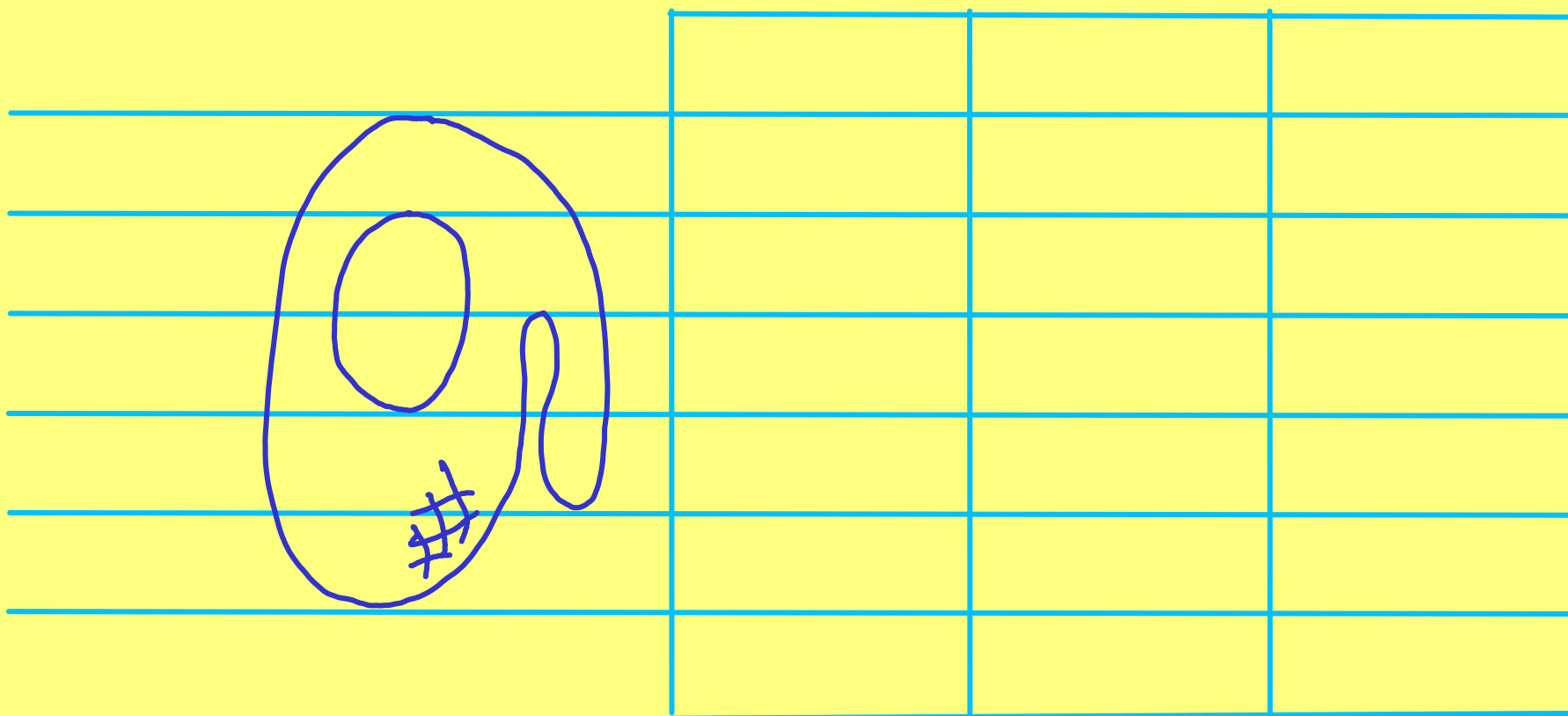
III.1 HEIGHT FUNCTION



superlevel set $f^{-1}[x, \infty)$
level set $f^{-1}(x)$
sublevel set $f^{-1}(-\infty, x]$

III.2 LEVEL SETS

level set
 $f^{-1}(x)$



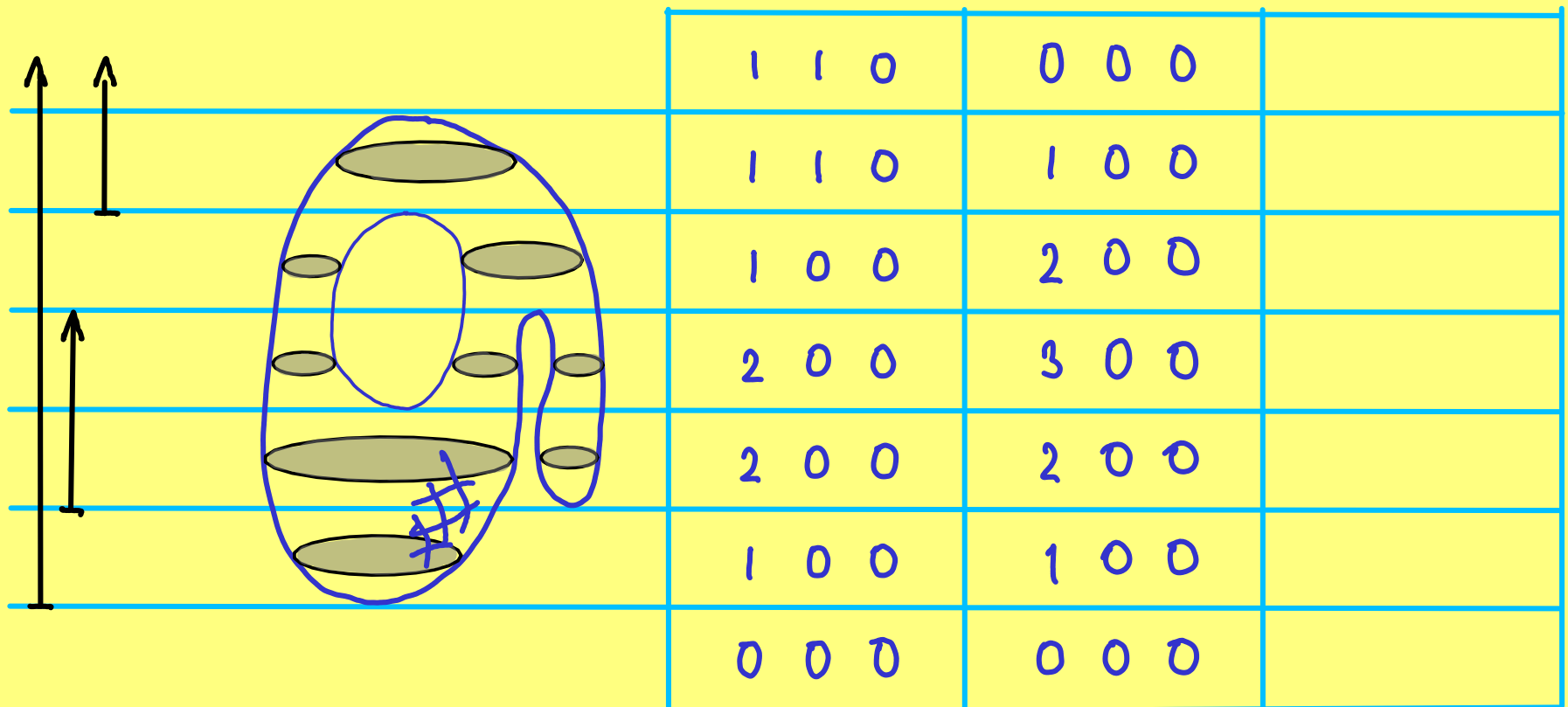
III.2 LEVEL SETS

level set
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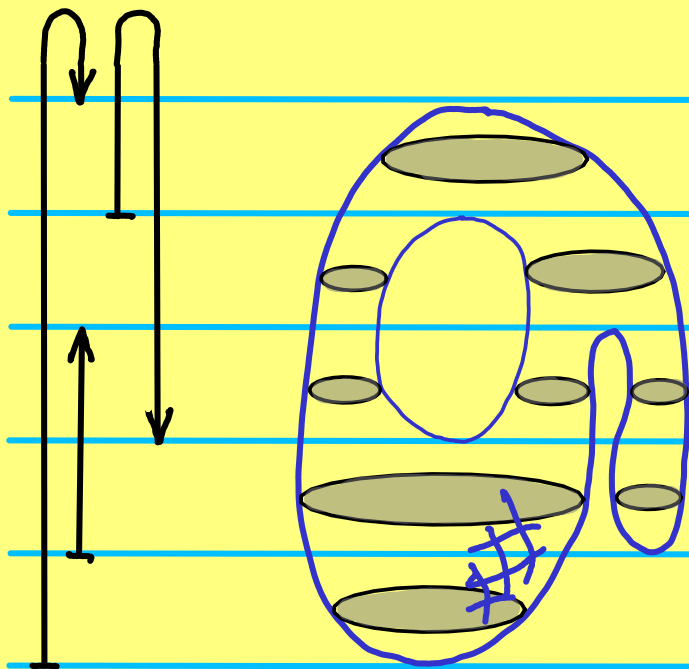
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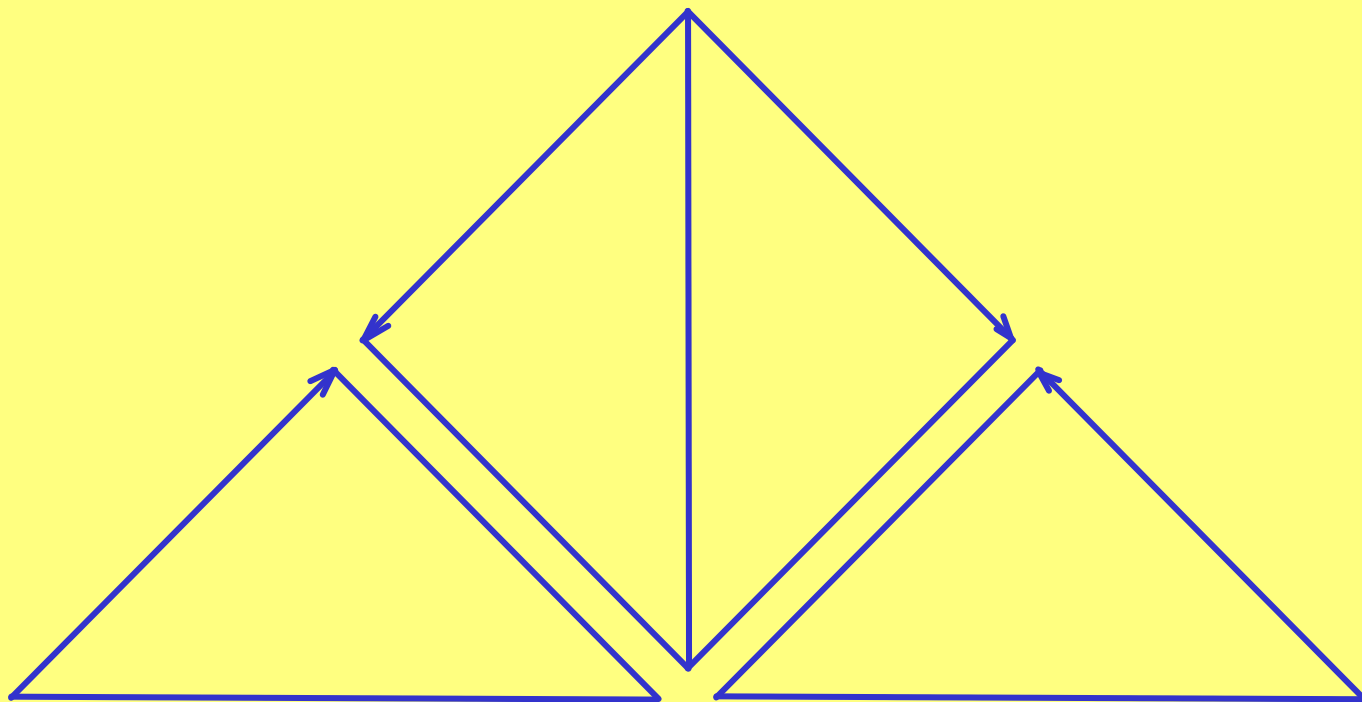
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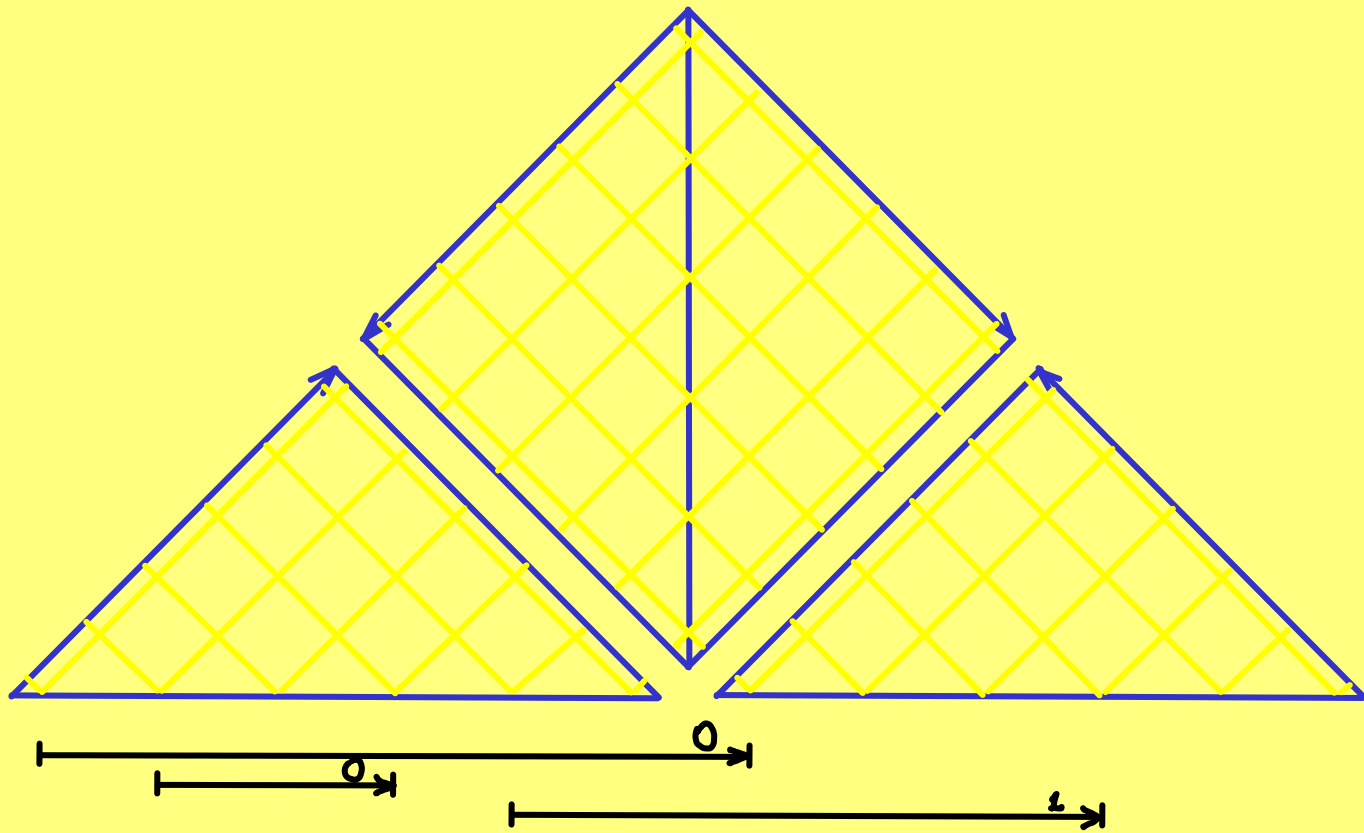


1 1 0	0 0 0	1 1 0
1 1 0	1 0 0	0 1 0
1 0 0	2 0 0	0 1 0
2 0 0	3 0 0	0 1 0
2 0 0	2 0 0	0 0 0
1 0 0	1 0 0	0 0 0
0 0 0	0 0 0	0 0 0

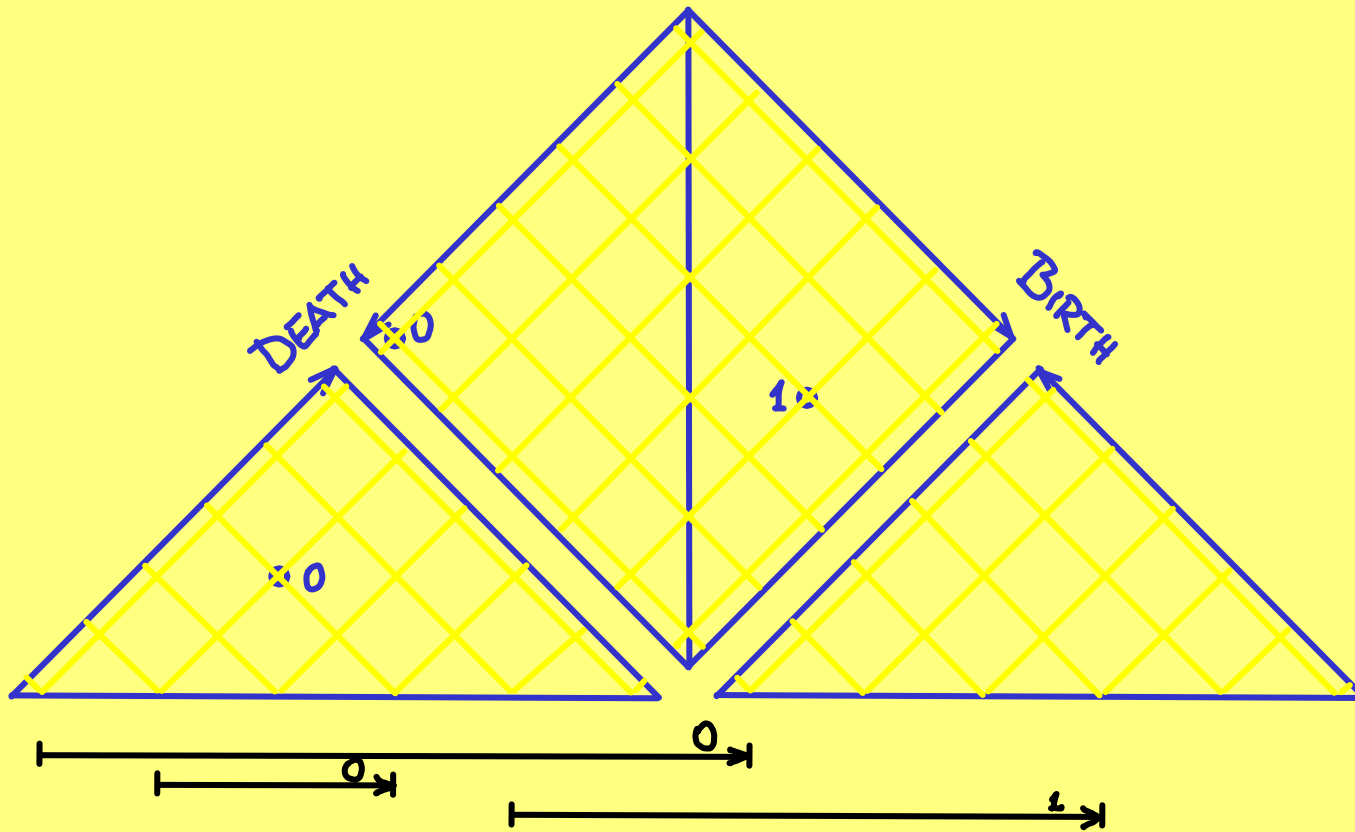
III.3 DIAGRAM



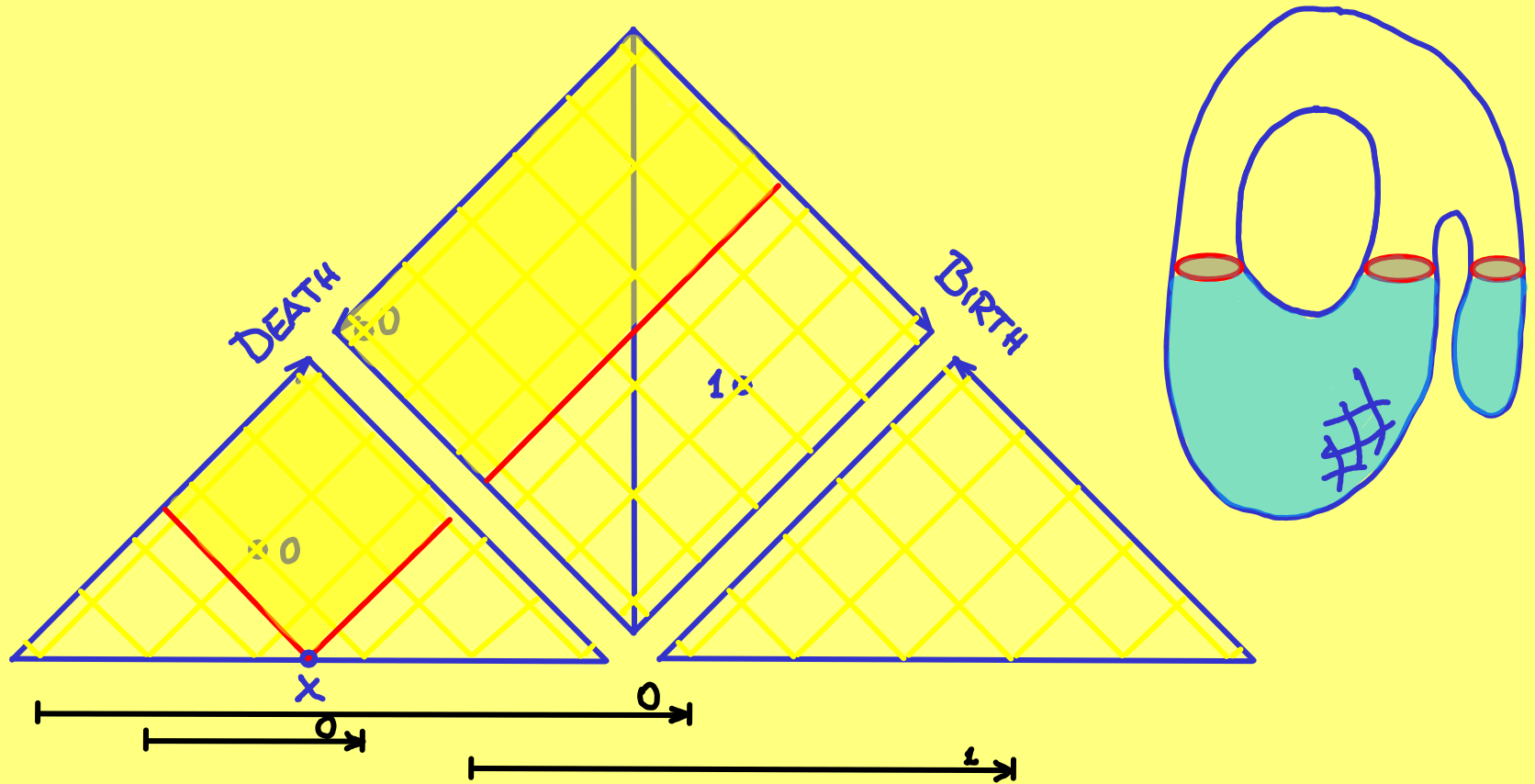
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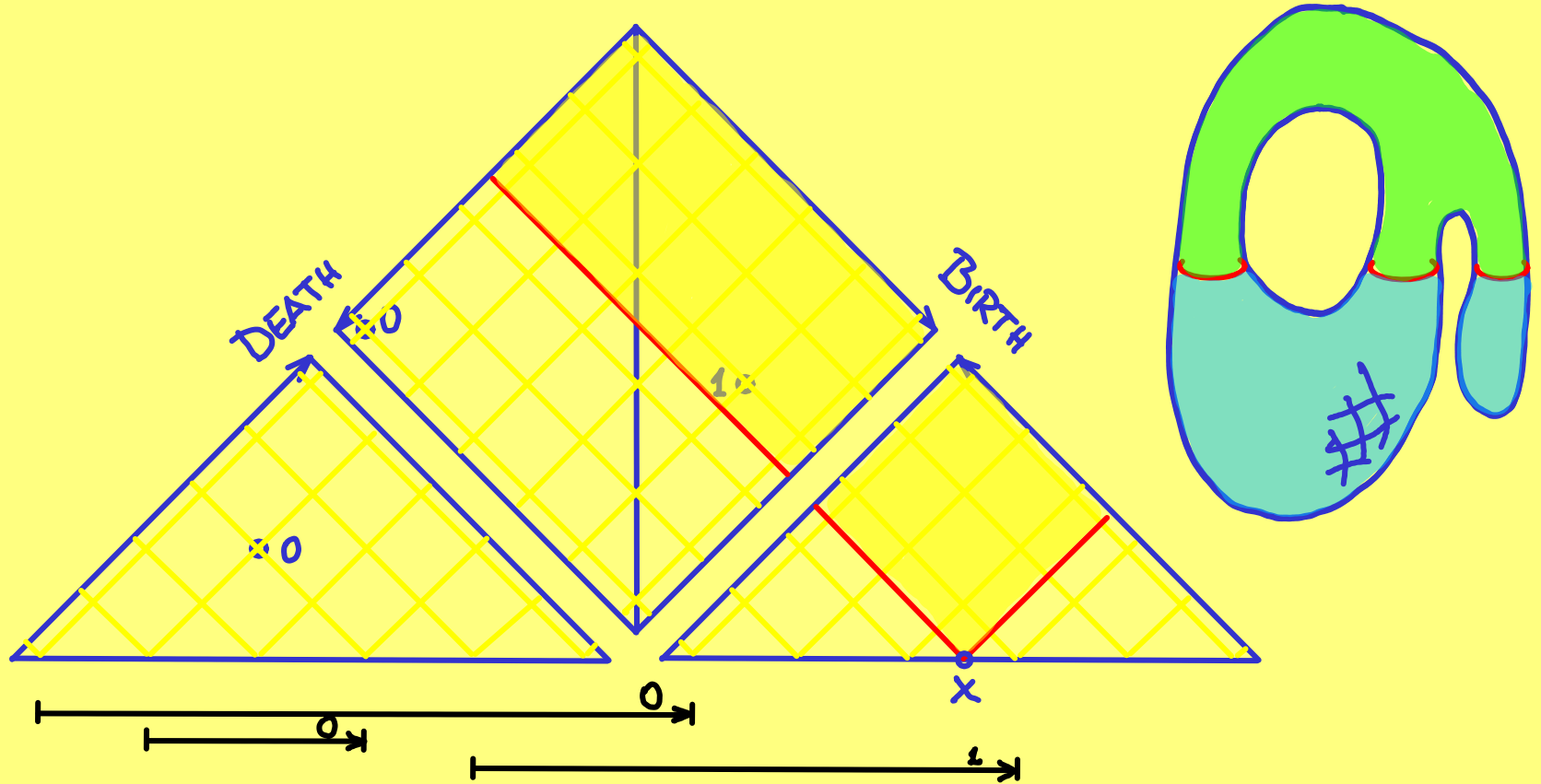
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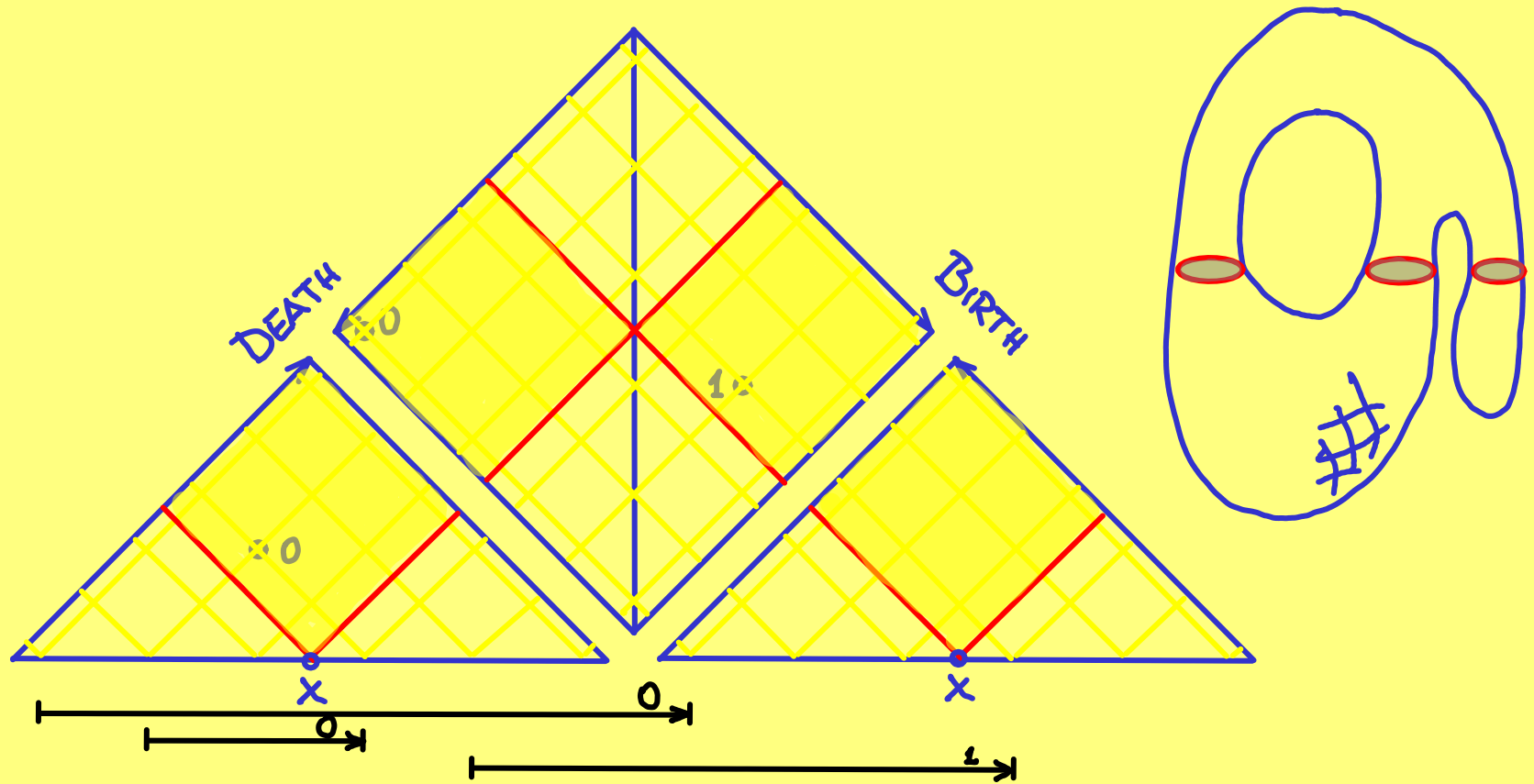
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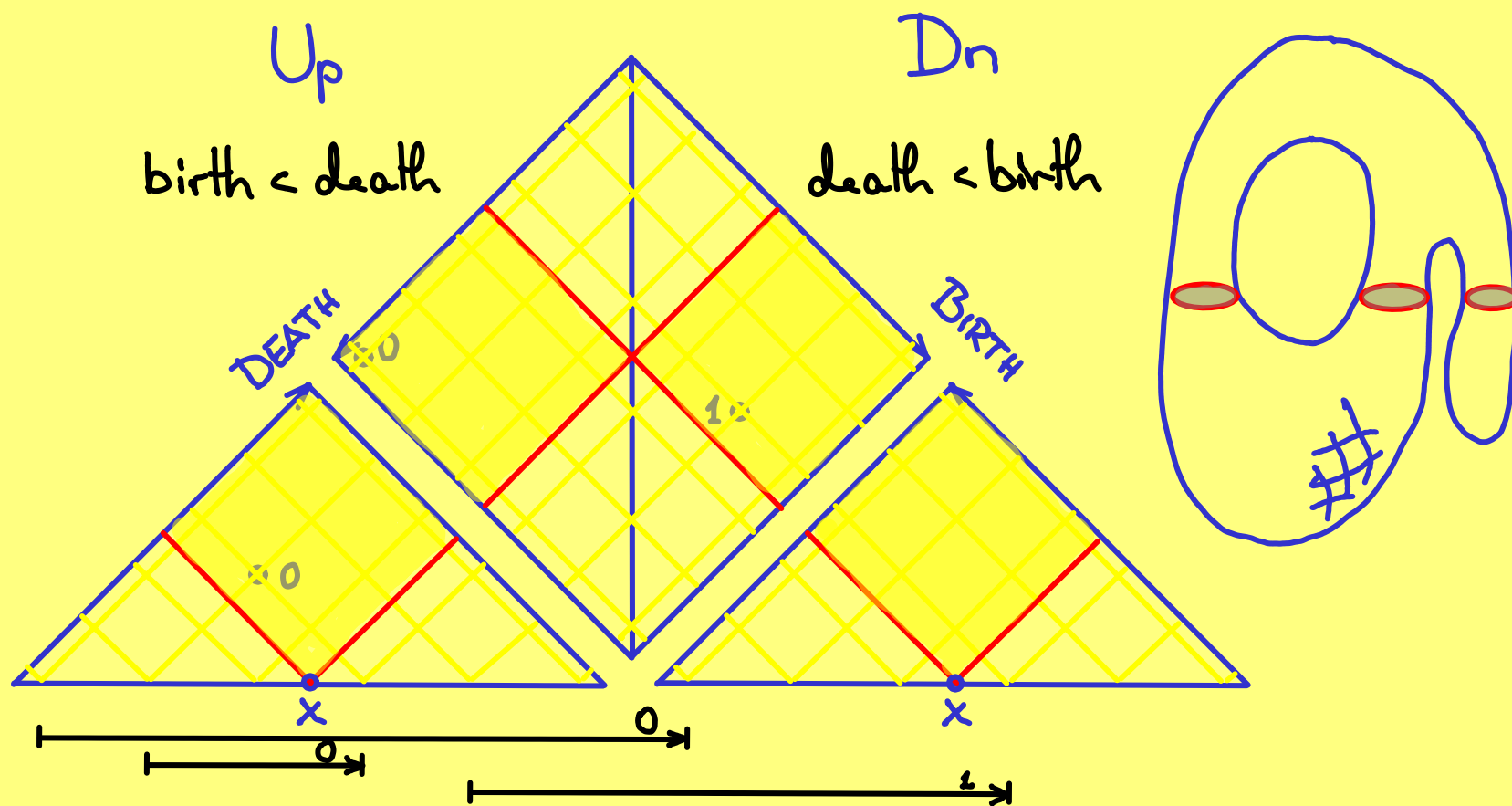
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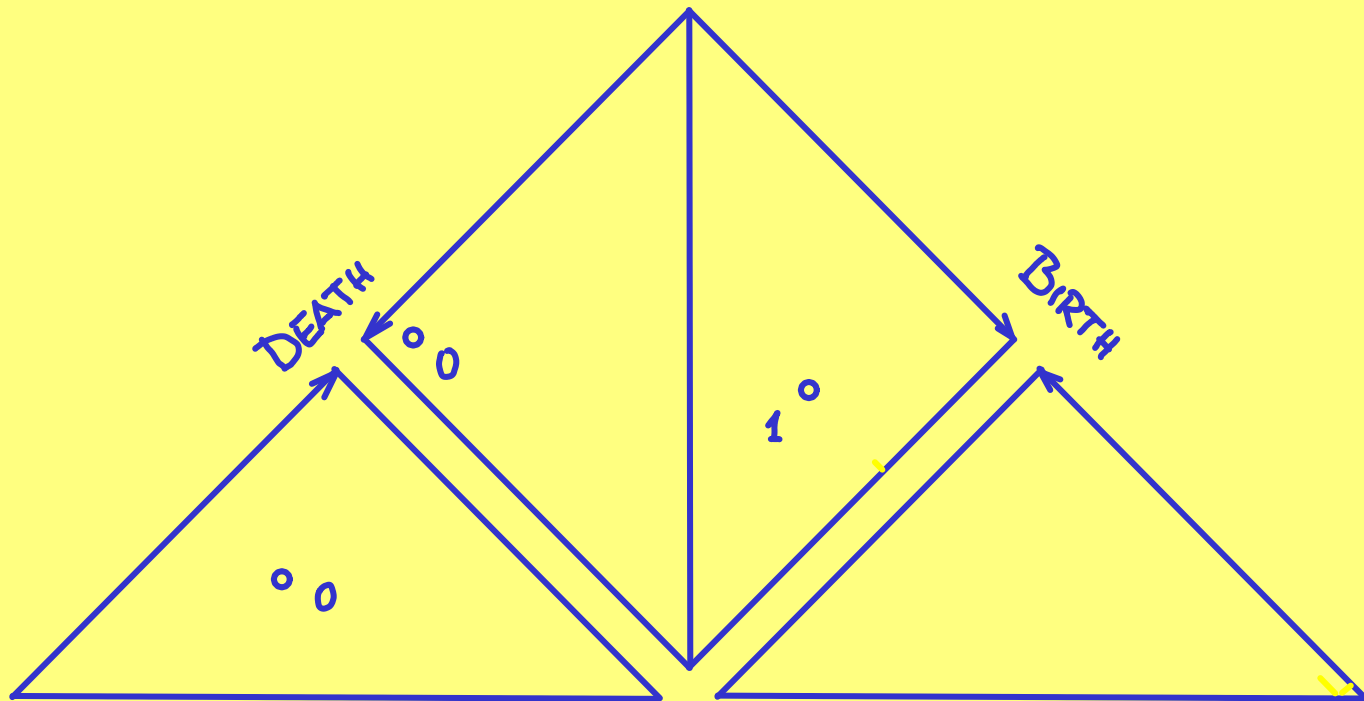


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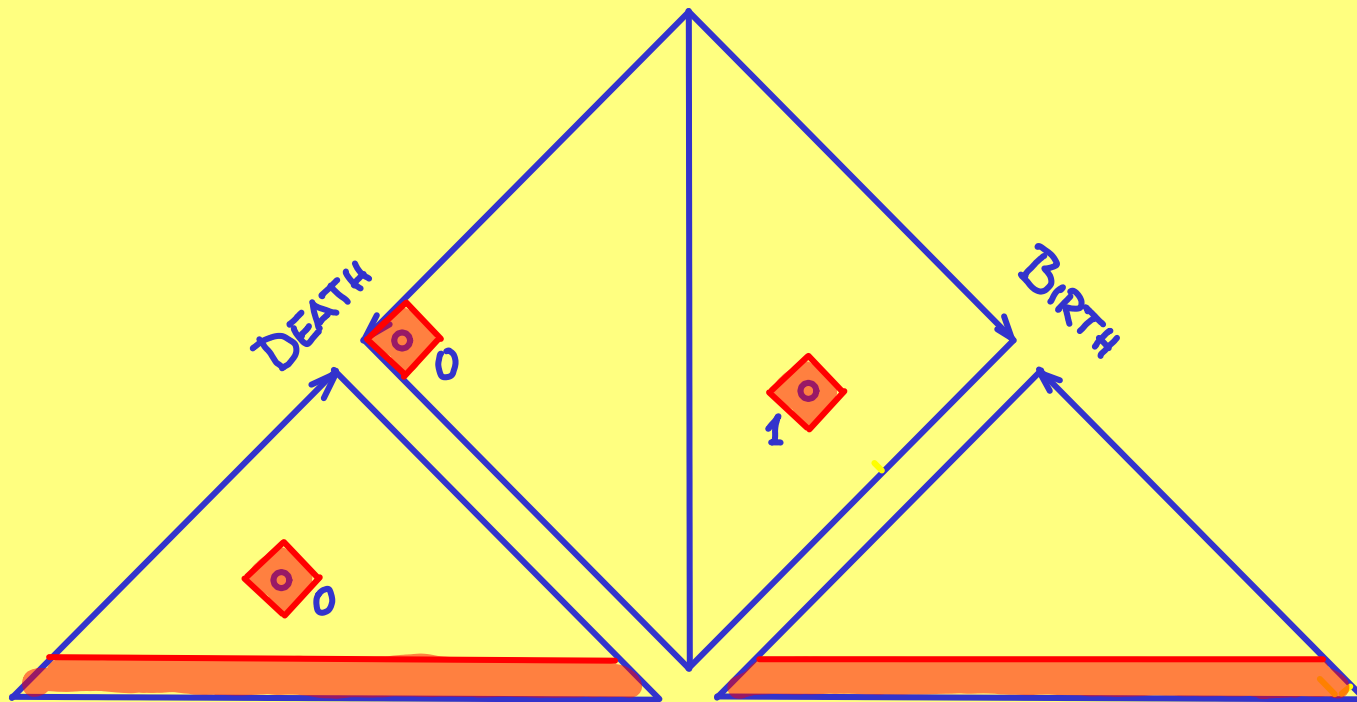


THM. $b_k(f^{-1}(x)) = \#U_{p_k}(f, x) + \#D_{k+1}(f, x).$

III.3 DIAGRAM STABILITY



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THM. $W_{\infty}(\text{Dgm}(f), \text{Dgm}(g)) \leq \|f - g\|_{\infty}.$

III.4

MOMENT

$$\chi(f^{-1}(x_1)) = \sum_{k=0}^n (-1)^k [\#U_{p_k}(f, x) - \#D_{n_k}(f, x)].$$

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The χ -moment of $D_{gm}(f)$ is

$$X(f) = \int_{x=-\infty}^{\infty} \chi(f^{-1}(x)) dx = \sum_{k=0}^n (-1)^k \sum_{A \in D_{gm}^k} (x_d - x_b)$$

III.4 MODIFIED MOMENT

$$\chi(f^{-1}(x)) = \sum_{k=0}^n (-1)^k [\#U_{p_k}(f, x) - \#D_{n_k}(f, x)].$$

The modified χ -moment of $D_{gm}(f)$ and $\epsilon > 0$ is

$$X(f, \epsilon) = \int_{x=-\infty}^{\infty} \chi(f^{-1}(x)) dx = \sum_{k=0}^n (-1)^k \sum_{\substack{A \in D_{gm}^k \\ |x_d - x_b| > \epsilon}} (x_d - x_b)$$

III.5

INTRINSIC VOLUME

The 1st intrinsic volume
of $M \subseteq \mathbb{R}^n$ is

$$V_1(M) = c_{n-1,n} \cdot \int_{E \in \mathcal{E}_{n-1}^n} \chi(M \cap E) dE$$

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 V_1(M) &= c_{n-1,n} \cdot \int_{E \in \mathcal{E}_{n-1}^n} \chi(M \cap E) \, dE \\
 &= c_{n-1,n} \cdot \int_{L \in \mathcal{L}_{n-1}^n} \int_{x=-\infty}^{\infty} \chi(f_L^{-1}(x)) \, dx \, dL \\
 &= c_{n-1,n} \cdot \int_{L \in \mathcal{L}_{n-1}^n} X(f_L) \, dL.
 \end{aligned}$$

III.5 MODIFIED INTRINSIC VOLUME

DEF. The modified 1st intrinsic volume of $M \subseteq \mathbb{R}^n$ and $\varepsilon > 0$ is

$$\begin{aligned} V_1(M, \varepsilon) &= c_{n-1, n} \cdot \int_{E \in \mathcal{E}_{n-1}^n} \chi(M \cap E) dE \\ &= c_{n-1, n} \cdot \int_{L \in \mathcal{L}_{n-1}^n} \int_{x=-\infty}^{\infty} \chi(f_L^{-1}(x)) dx dL \\ &= c_{n-1, n} \cdot \int_{L \in \mathcal{L}_{n-1}^n} X(f_L, \varepsilon) dL. \end{aligned}$$

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II INTRINSIC VOLUME

III PERSISTENT HOMOLOGY

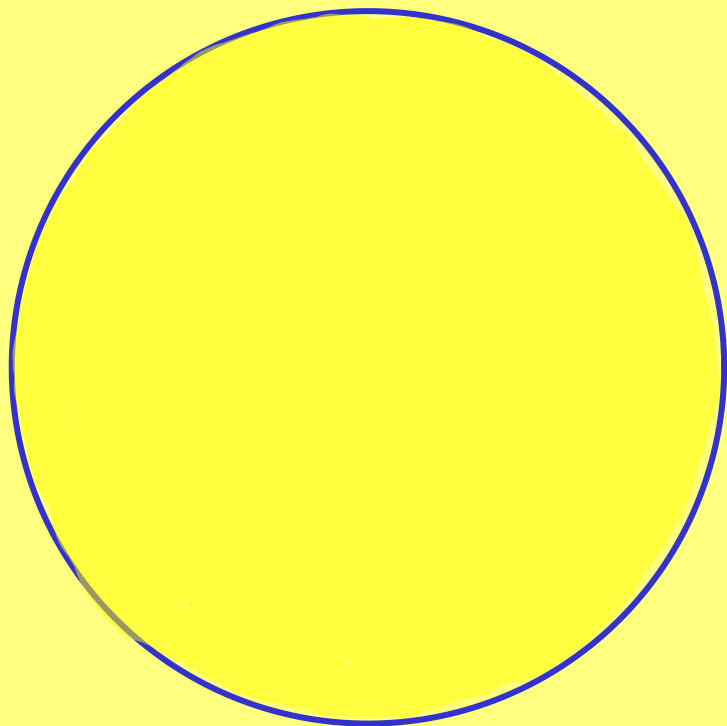
IV CONVERGENCE

IV.1 UNIT BALL

THM. $|V_1(\mathbb{B}^n) - V_1(\mathbb{B}_{t,1}^n, t\sqrt{n})| \leq c_{n-1,n} \cdot t\sqrt{n}.$

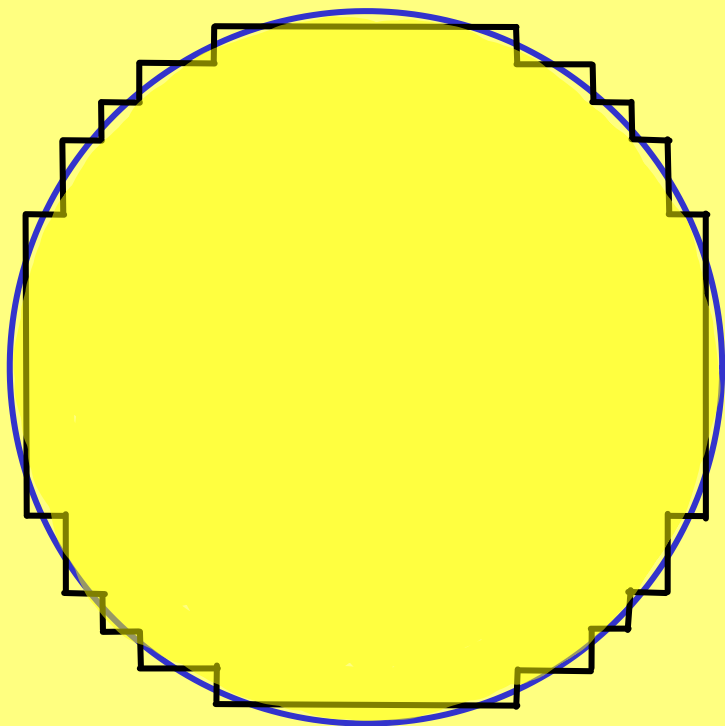
IV.1 UNIT BALL

THM. $|V_1(\mathbb{B}^n) - V_1(\mathbb{B}_{\frac{1}{2}}^n, t\sqrt{n})| \leq c_{n-1,n} \cdot t\sqrt{n}.$



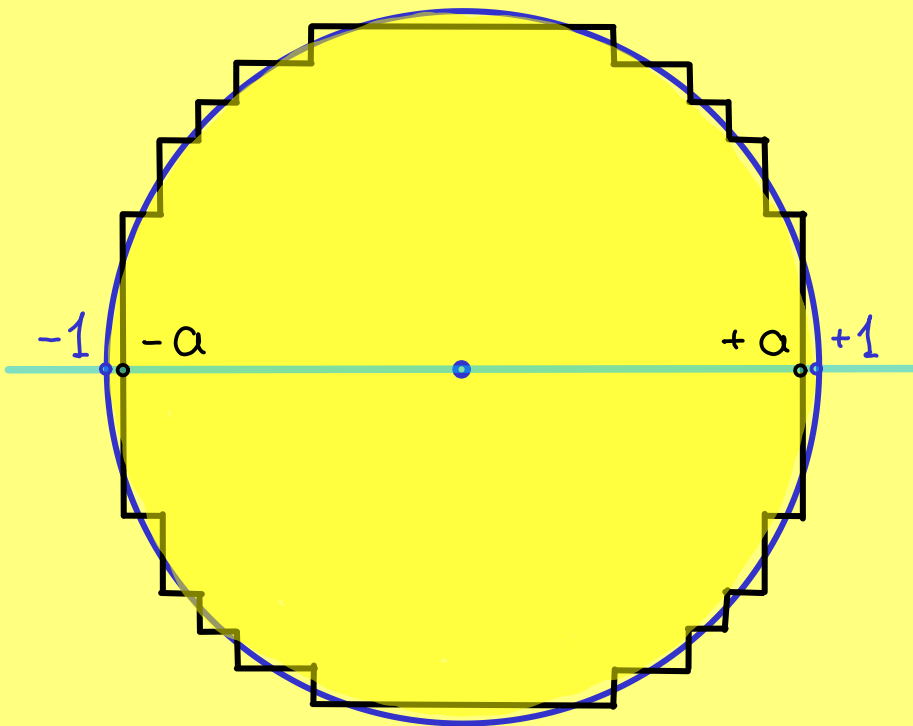
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THM. $|V_1(B^n) - V_1(B_{\frac{1}{t}}^n, t\sqrt{n})| \leq c_{n-1,n} \cdot t\sqrt{n}.$



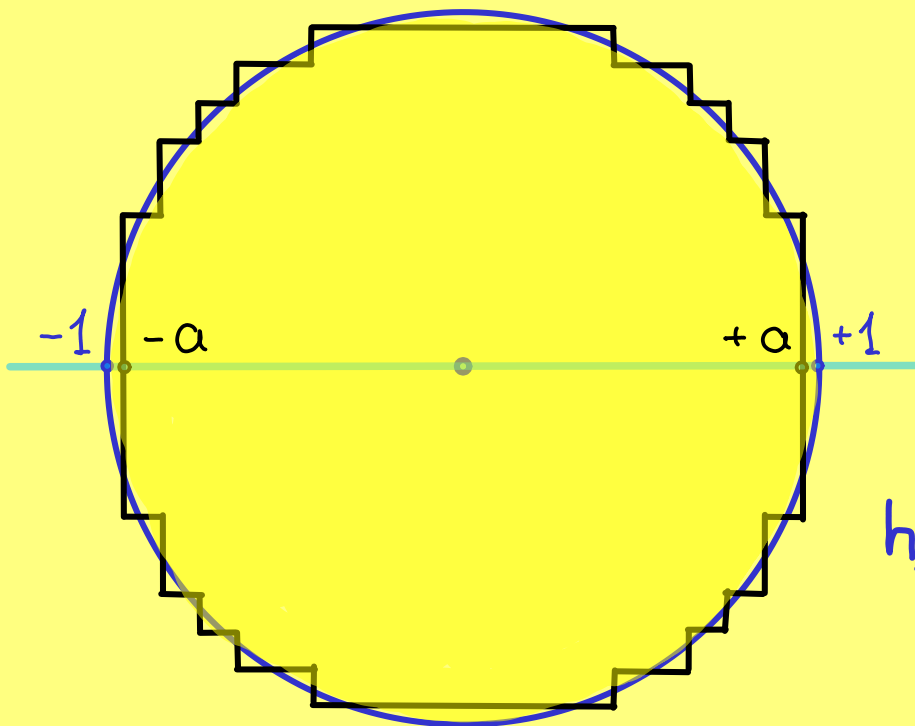
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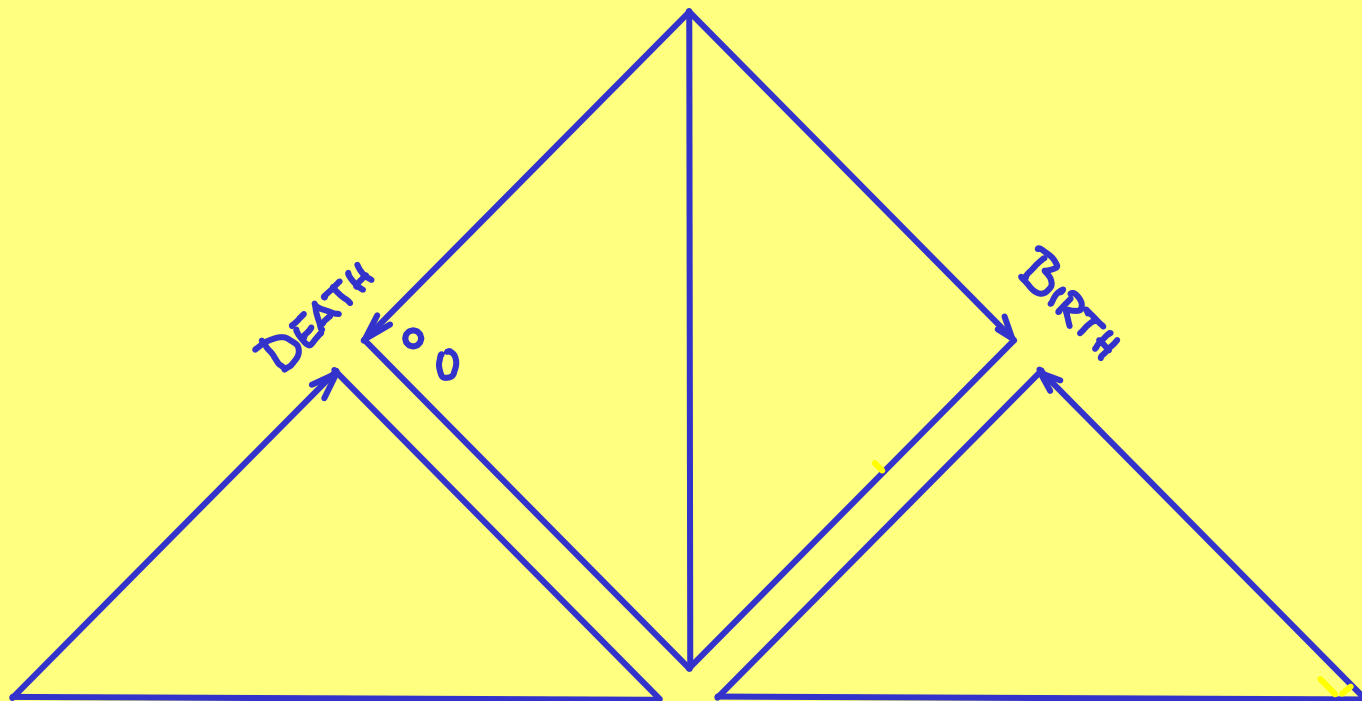
THM. $|V_1(\mathbb{B}^n) - V_1(\mathbb{B}_t^n, t\sqrt{n})| \leq c_{n-1,n} \cdot t\sqrt{n}.$



$h_t : \mathbb{B}^n \rightarrow \mathbb{B}_t^n$ with distortion
 $\sup_{x \in \mathbb{B}^n} \|x - h_t(x)\| \leq \frac{1}{2} t\sqrt{n}.$

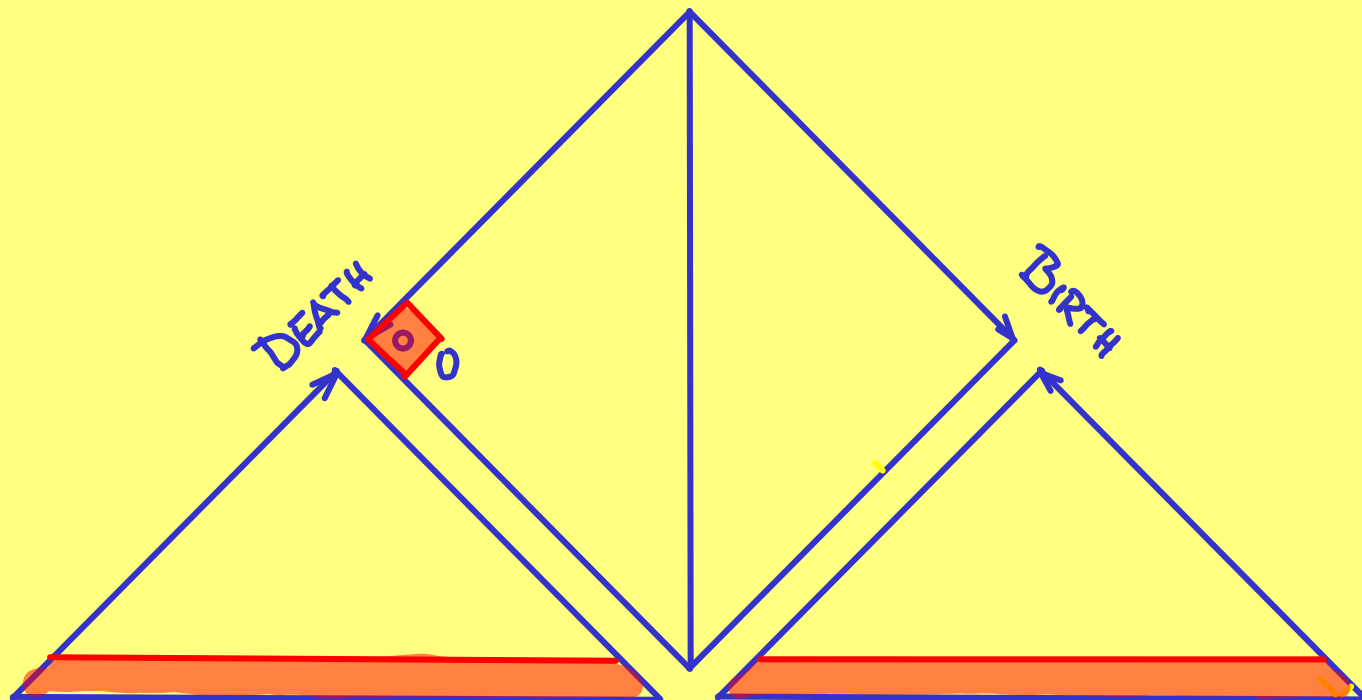
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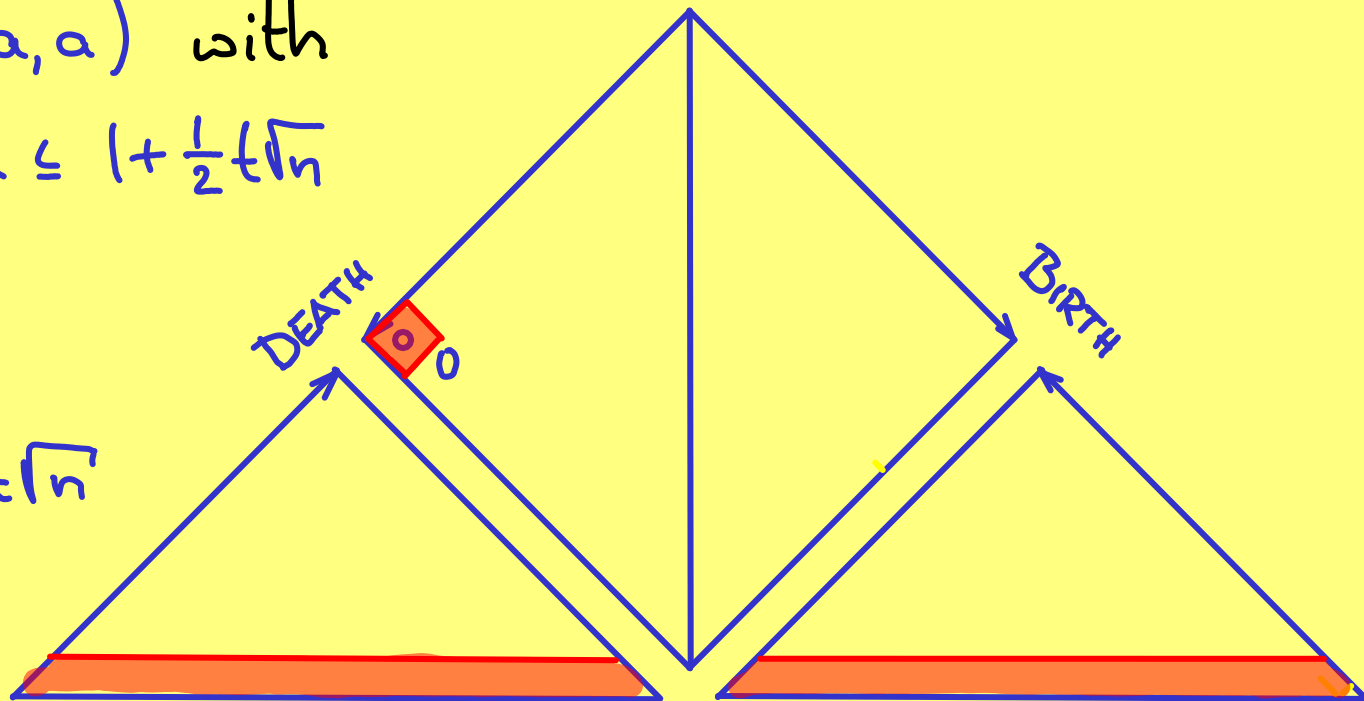


IV.1 UNIT BALL

THM. $|V_1(B^n) - V_1(B_{t/\sqrt{n}}^n)| \leq c_{n-1,n} \cdot t\sqrt{n}.$

$(-a, a)$ with
 $1 - \frac{1}{2}t\sqrt{n} \leq a \leq 1 + \frac{1}{2}t\sqrt{n}$

$$2a - 2 \leq t\sqrt{n}$$



IV.2 SOLID BODIES

DEF. A solid body is a compact set $M \subseteq \mathbb{R}^n$ s.t.

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(i) ∂M is a smoothly embedded $(n-1)$ -manifold,

IV.2 SOLID BODIES

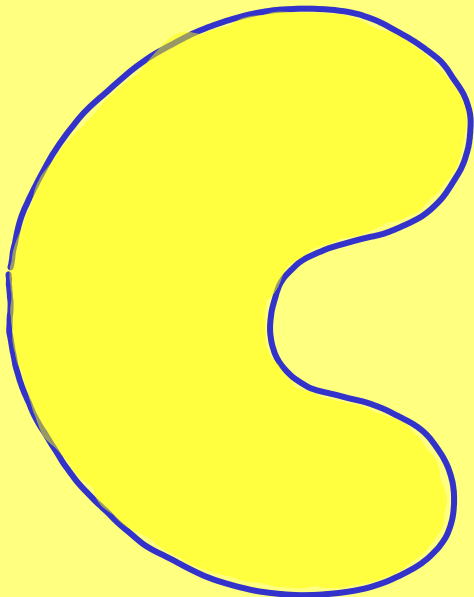
DEF. A solid body is a compact set $M \subseteq \mathbb{R}^n$ s.t.

- (i) ∂M is a smoothly embedded $(n-1)$ -manifold,
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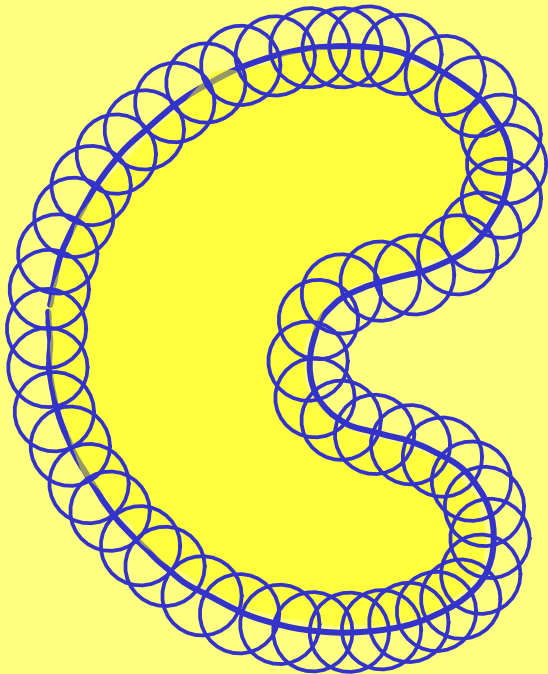
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$$\text{reach}(\partial M) \cdot \kappa_{\max}(\partial M) \leq 1.$$

IV.2 SOLID BODIES

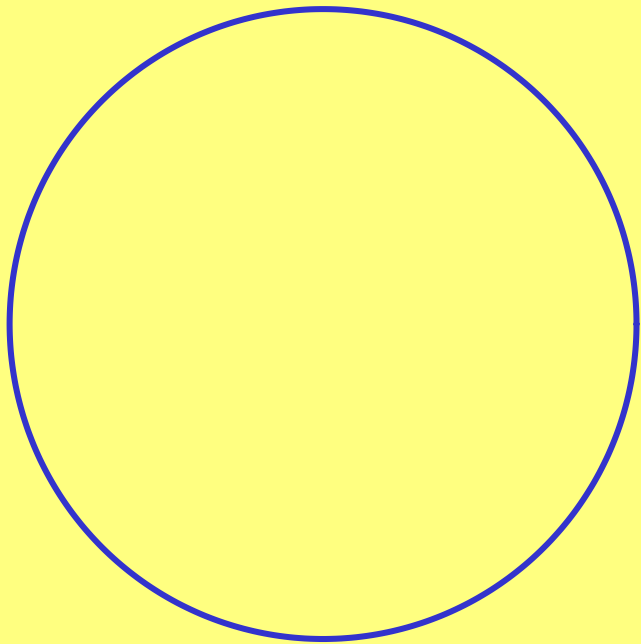
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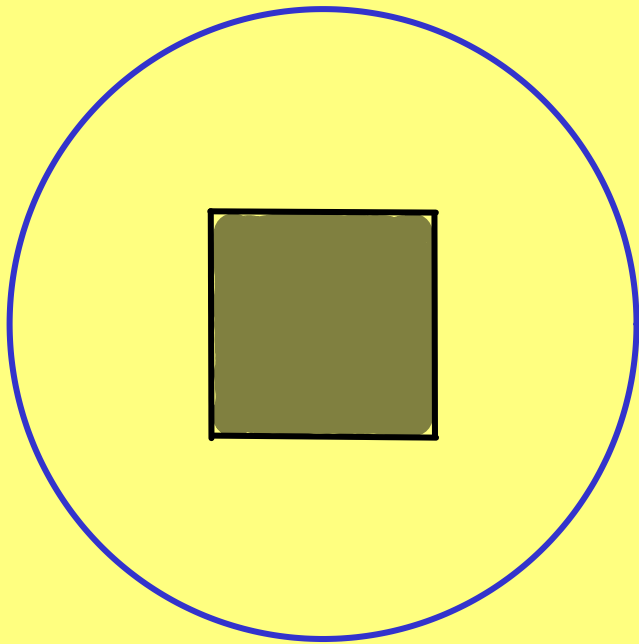
$$\text{Then } |V_1(M) - V_1(M_t, t\sqrt{n})| \leq c_{n-1,n} \cdot t\sqrt{n} \cdot C.$$

IV.3 DISTORTED NORMAL BUNDLE

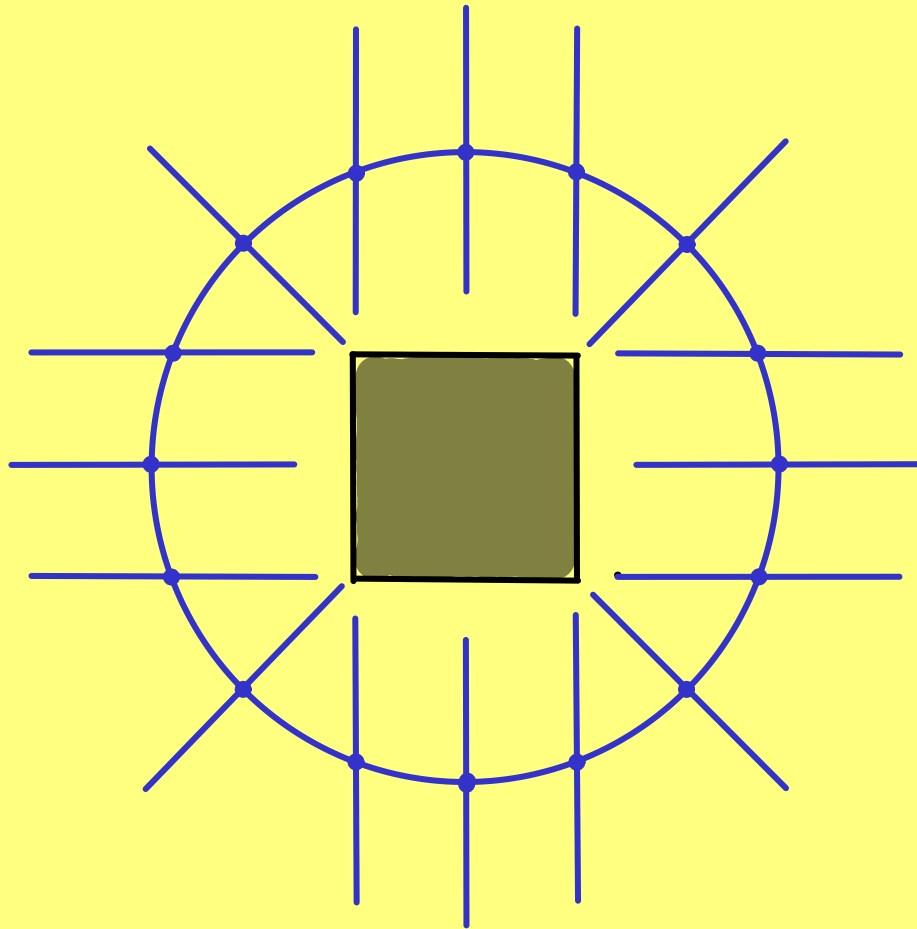


IV.3 DISTORTED NORMAL BUNDLE

$$\square^n = [-1, 1]^n / (2\sqrt{n})$$



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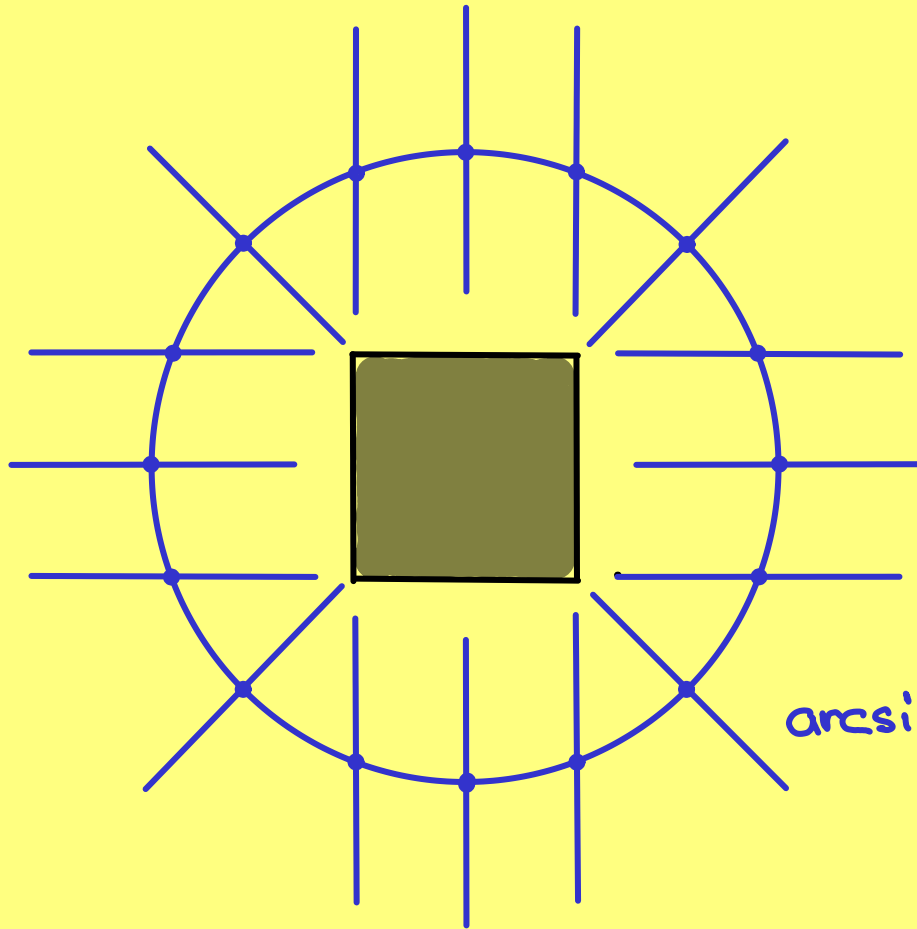


$$\mathbb{Q}^n = [-1, 1]^n / (2\sqrt{n})$$

$$D_n : S^{n-1} \rightarrow S^{n-1} \text{ defined by}$$

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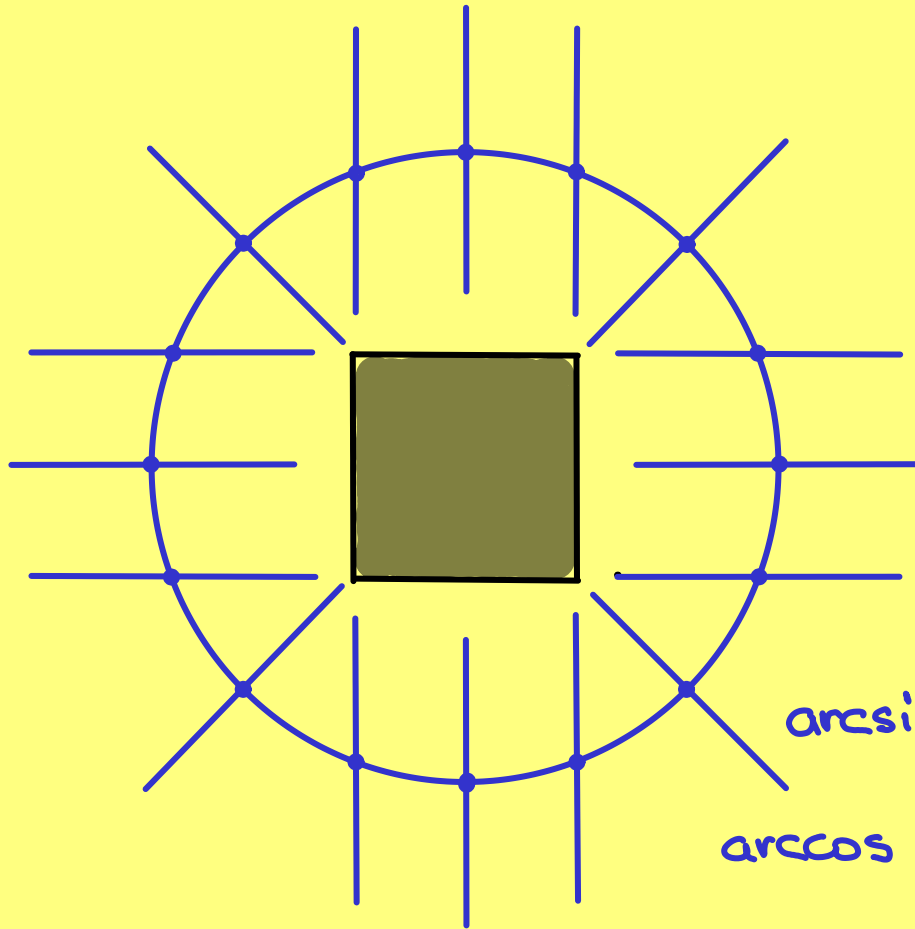
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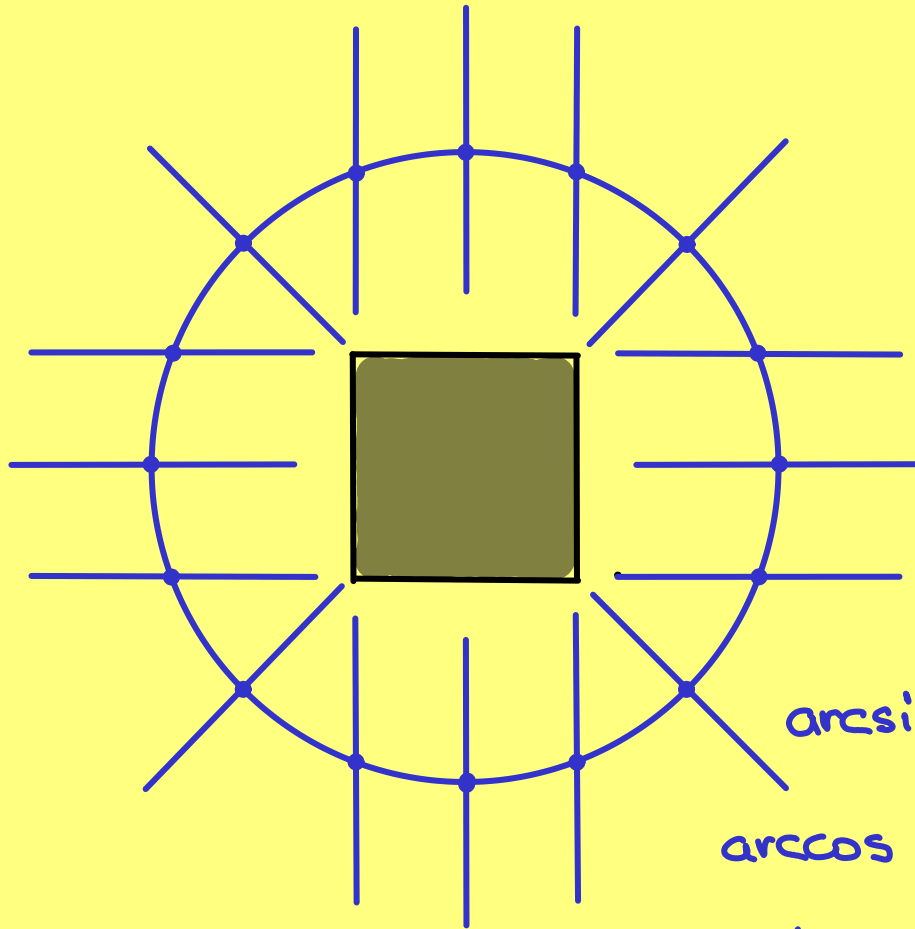
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$$\arccos \langle u, D_n(u) \rangle \leq \sqrt{\frac{3n+1}{4n}}$$

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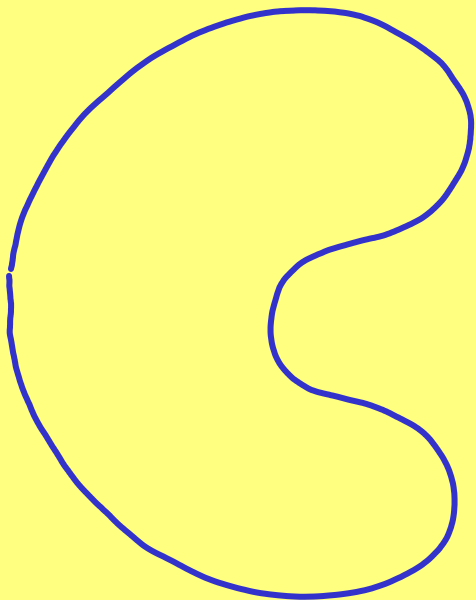
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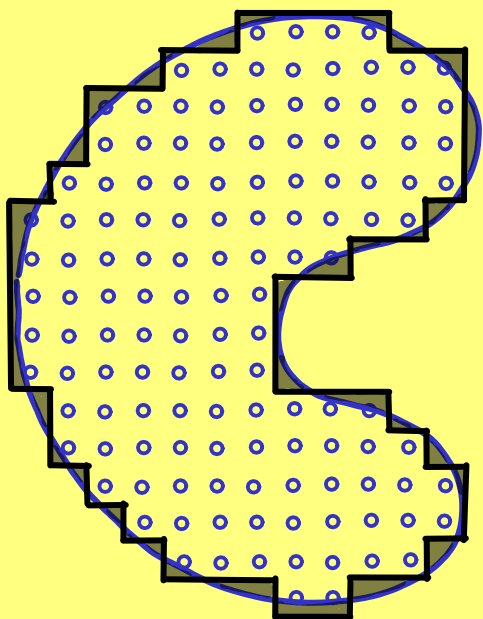
$$\arccos \langle u, D_n(u) \rangle \leq \sqrt{\frac{3n+1}{4n}}$$

$$\arccos \langle D_n(u), D_n(v) \rangle \leq 2 \arccos \langle u, v \rangle$$

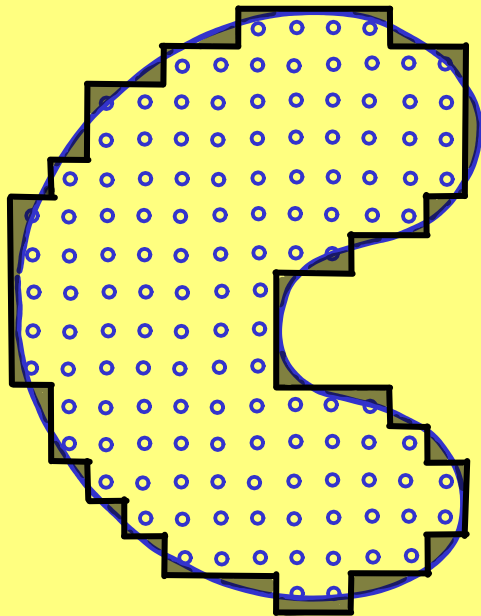
IV.4 SYMMETRIC DIFFERENCE



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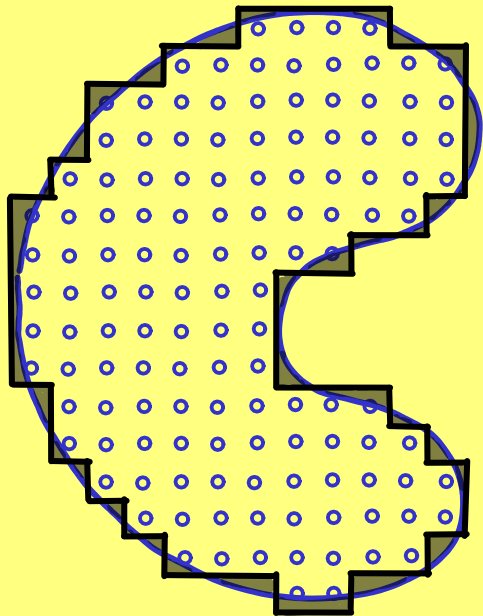
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Coord.-aligned normal bundle

maps $x \in \partial M \mapsto D_n(N(x))$.

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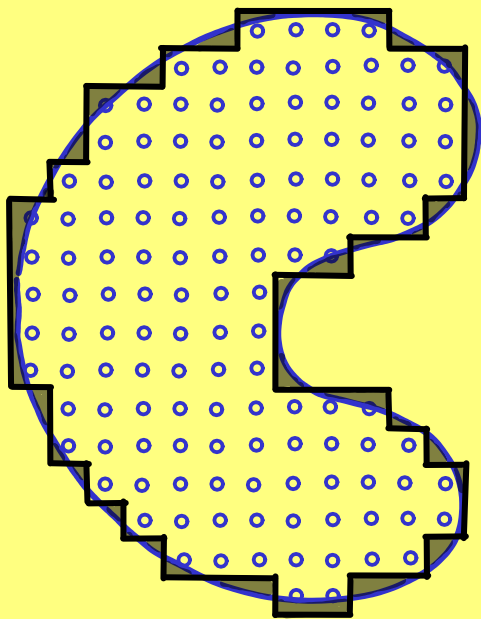
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maps $x \in \partial M \mapsto D_n(N(x))$.

$$L_x = \{x + \lambda D_n(N(x)) \mid -t\sqrt{n} \leq \lambda \leq t\sqrt{n}\}$$

$$F_x = L_x \cap [(M \setminus M_t) \cup (M_t \setminus M)].$$

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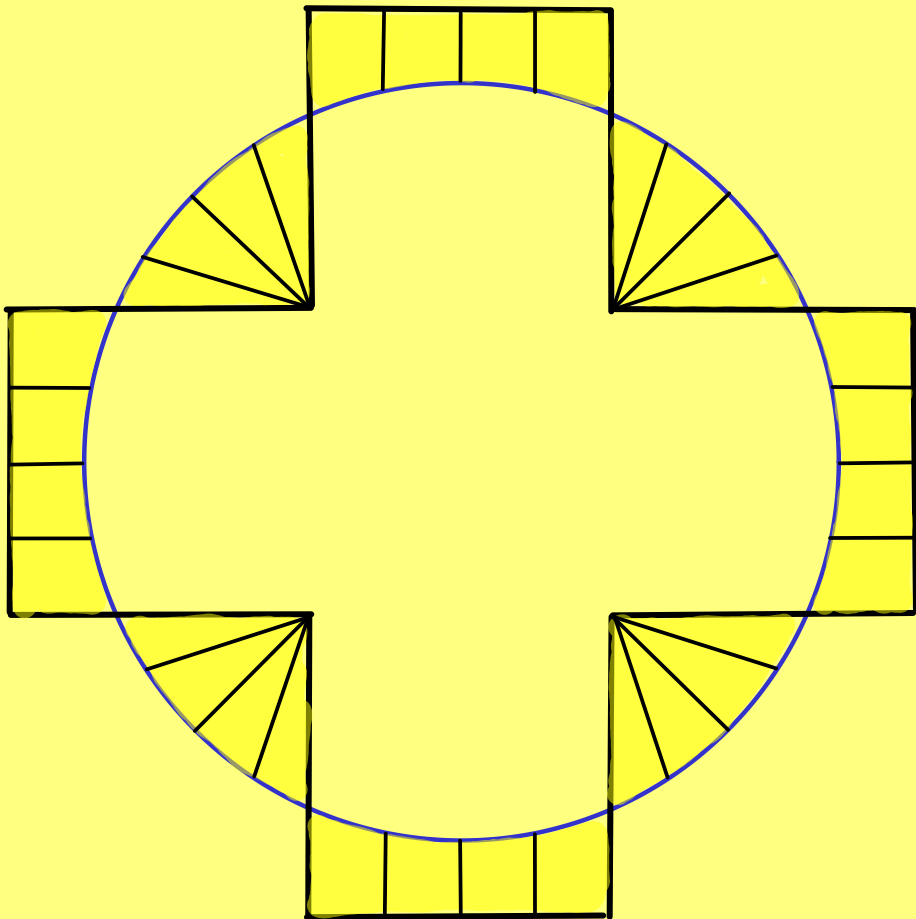
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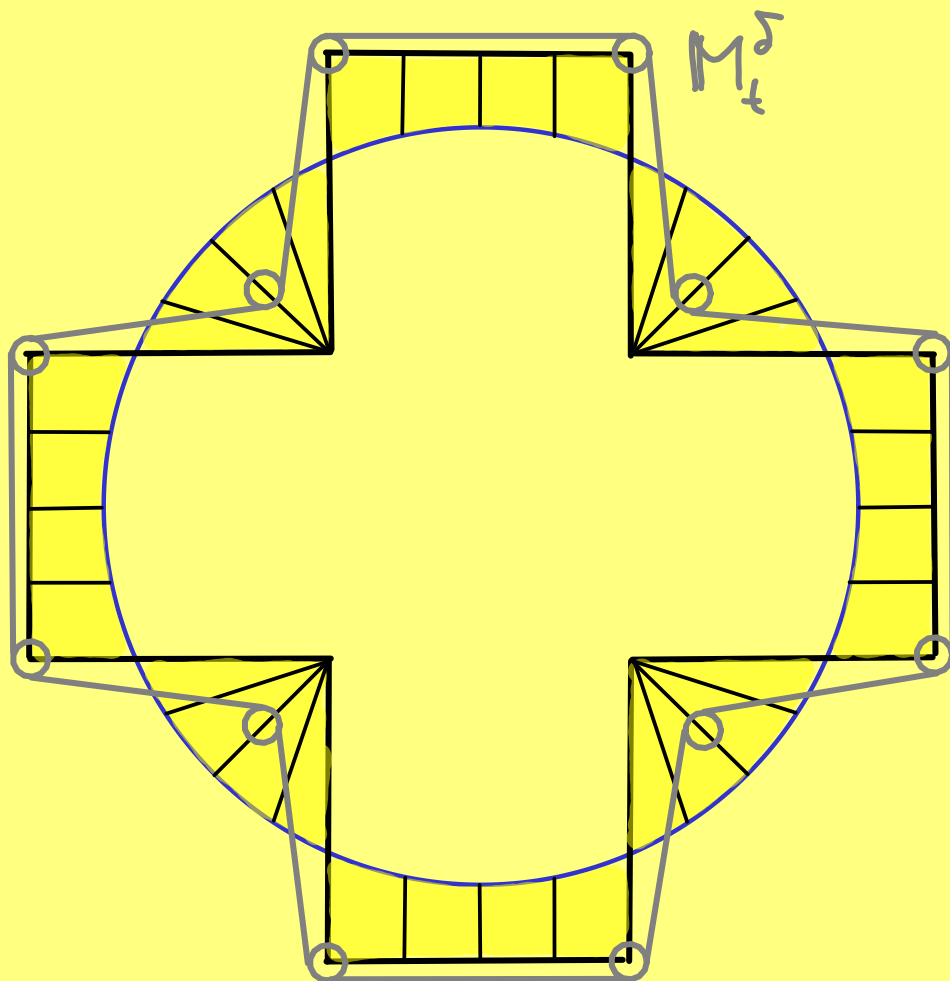
If $\partial M \subseteq \mathbb{R}^n$ s.t. $\forall k_{\max} \geq 3t\sqrt{n}$, $\text{reach} > 2t\sqrt{n}$,

then $\{F_x \mid x \in \partial M\}$ is a fibration of symm. diff.

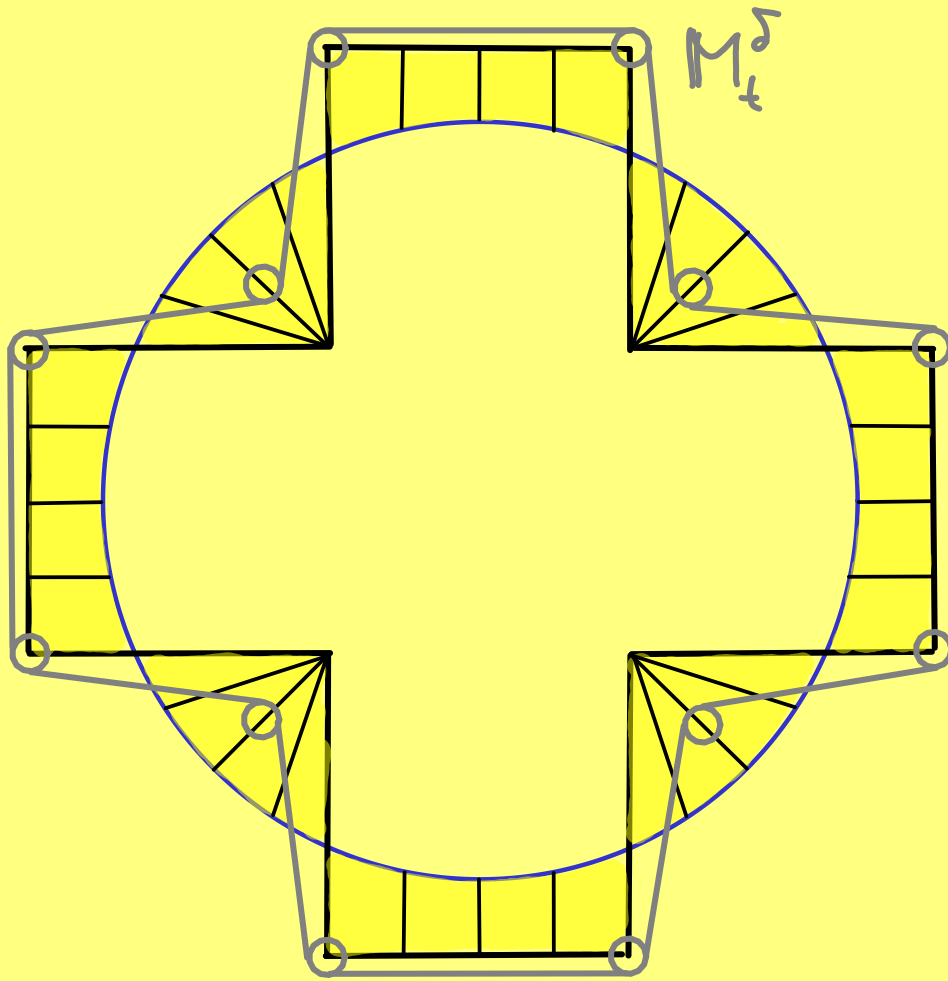
IV.5 HOMOTOPY EQUIVALENCE



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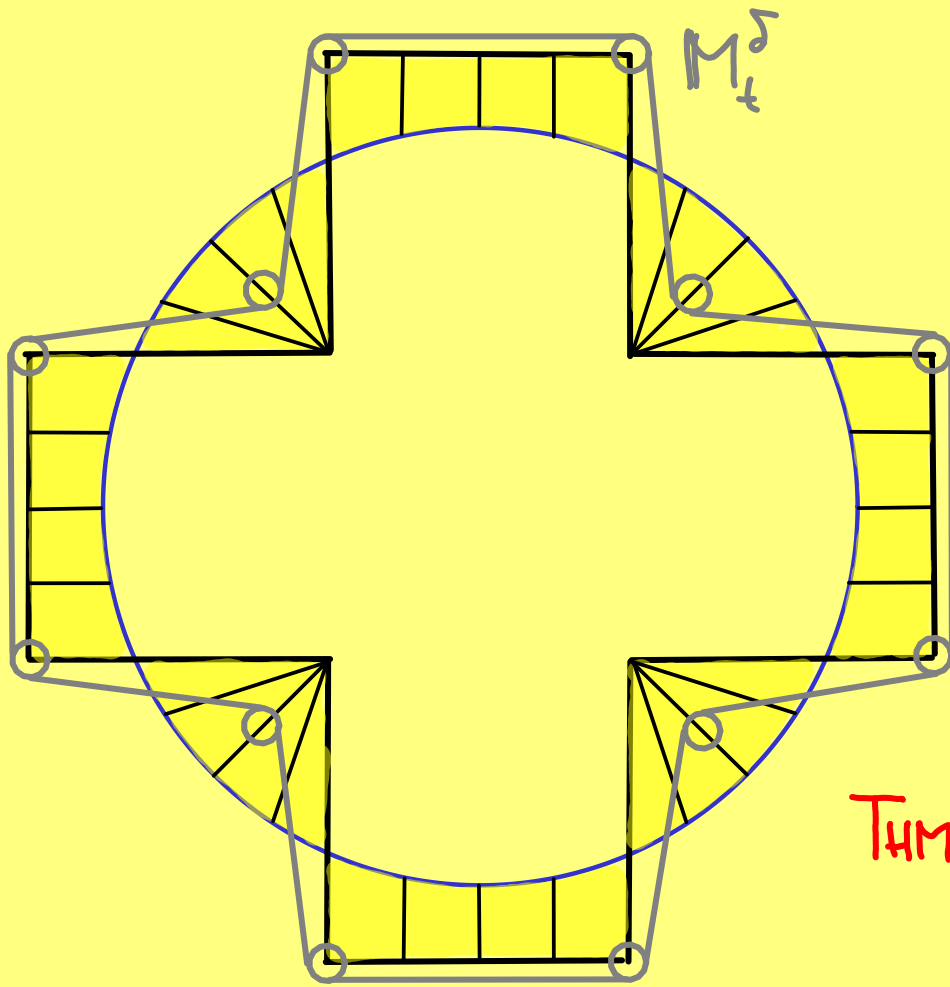
maps

$$f: M \rightarrow M_t^\delta$$

$$g: M_t^\delta \rightarrow M_t$$

$$h: M_t^\delta \rightarrow M$$

IV.5 HOMOTOPY EQUIVALENCE



maps

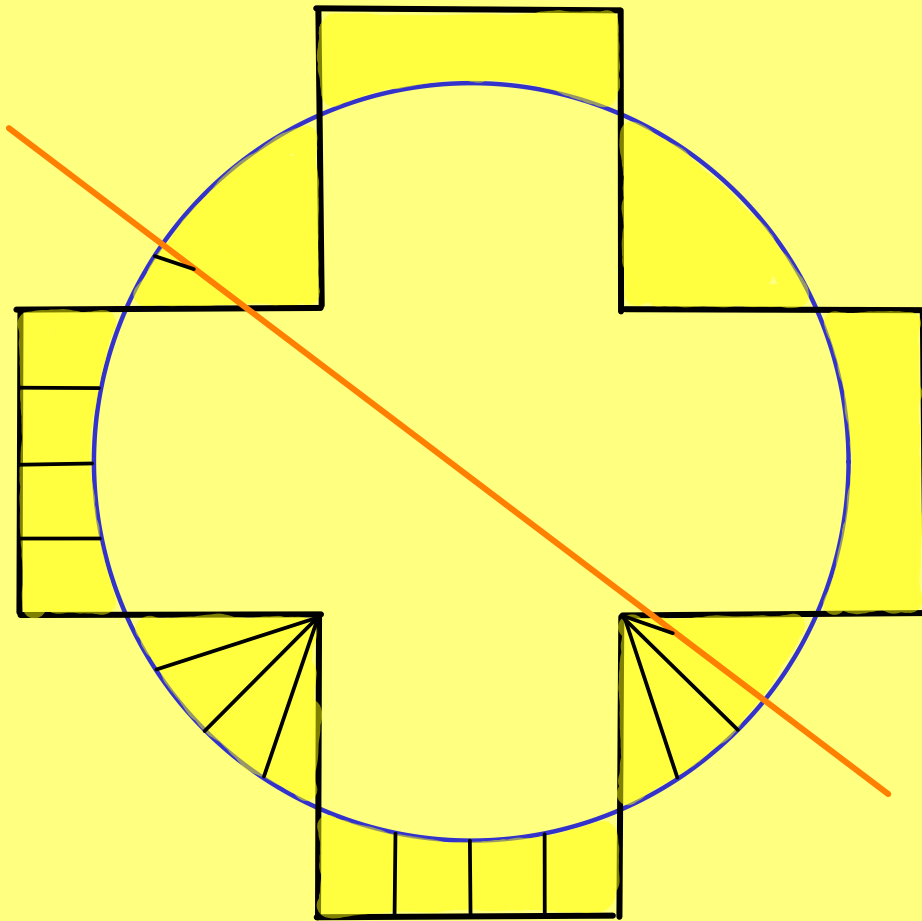
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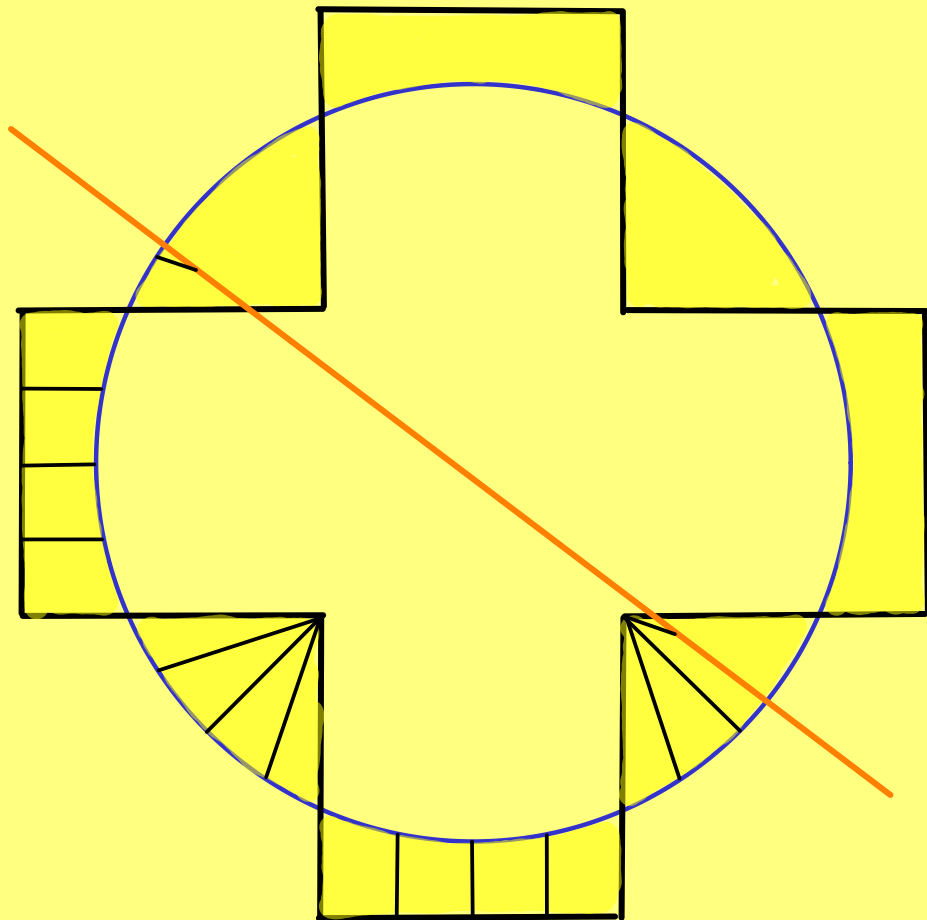
$$h: M_t^\delta \rightarrow M$$

THM. If $\partial M \subseteq \mathbb{R}^n$ s.t.
 $\frac{1}{k_{\max}} \geq 3t\epsilon$, $\text{reach} > 2t\epsilon$,
then $M \approx M_t$.

IV.6 SUB- AND SUPERLEVEL SETS



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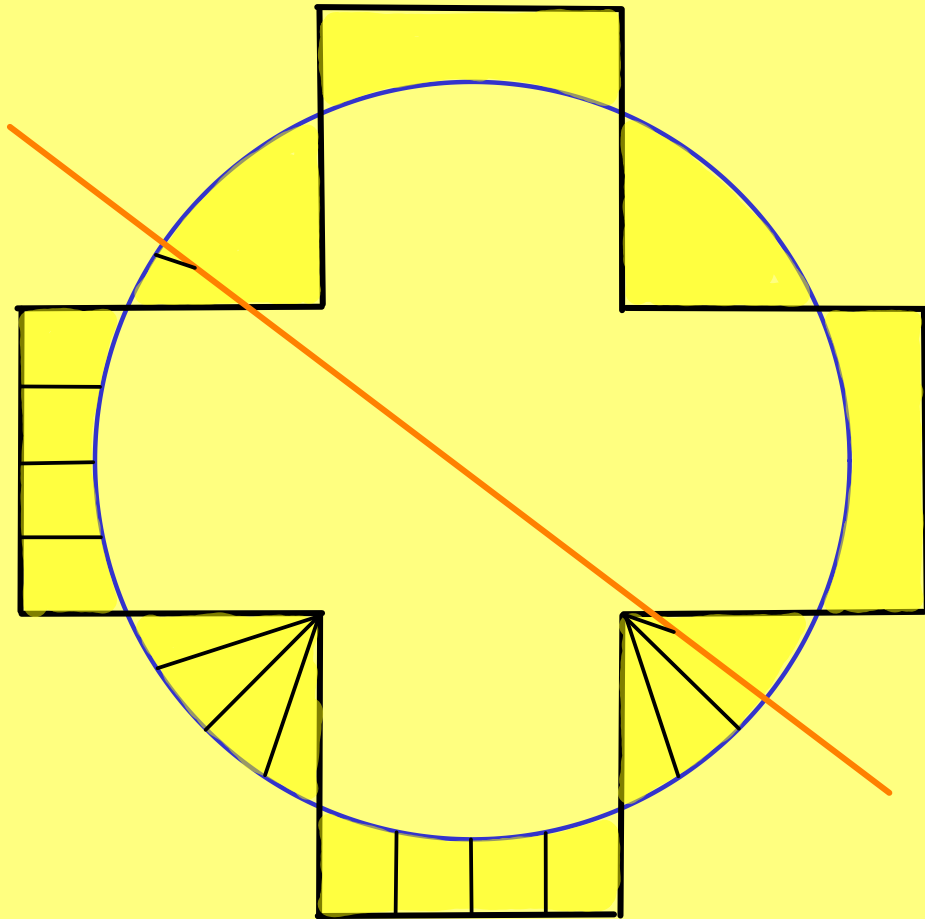
height functions

$$f_u: M \rightarrow \mathbb{R}$$

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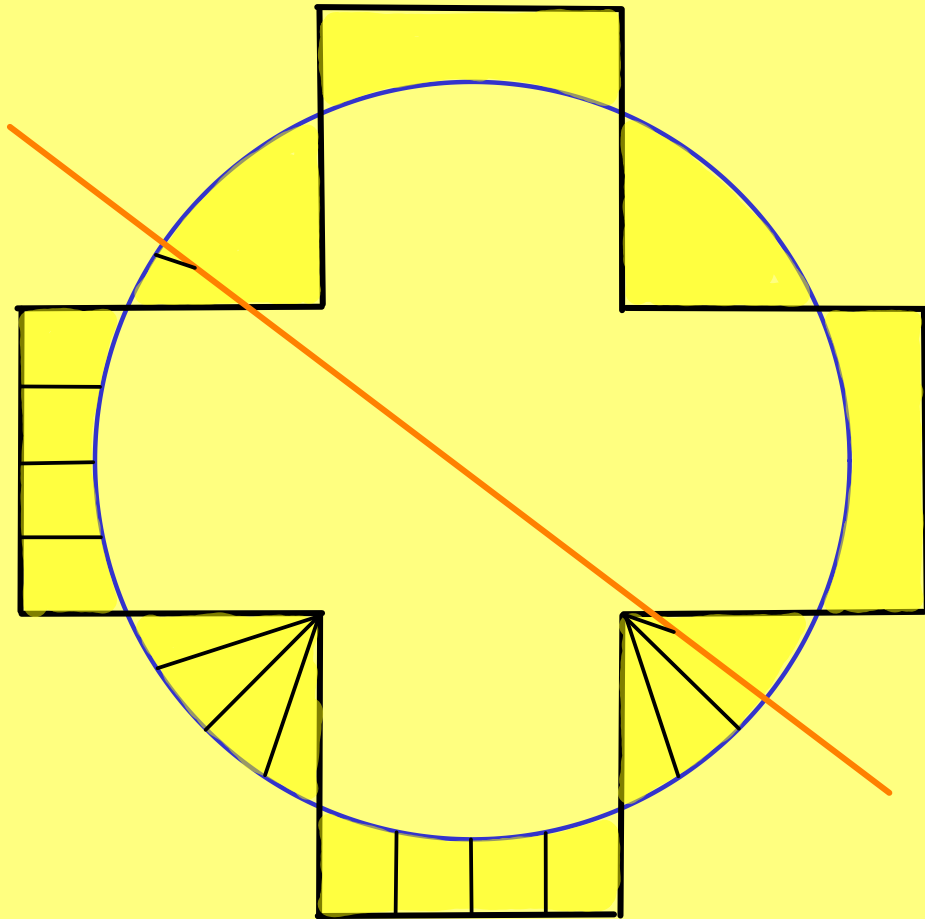
$$g_u: M_t \rightarrow \mathbb{R}$$

$$h_u: M_t^\delta \rightarrow \mathbb{R}$$

$$F_r = H(f_u(-\infty, r])$$

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$$F^r = H(M, f_u[r, \infty))$$

$$G^r = H(M_t, g_u[r, \infty))$$

IV.7 TOWERS

$$\mathcal{F}: \quad 0 \rightarrow \dots \rightarrow F_r \rightarrow F_{r+s} \rightarrow \dots \rightarrow F^r \rightarrow F^{r+s} \rightarrow \dots \rightarrow 0$$

$$\mathcal{G}: \quad 0 \rightarrow \dots \rightarrow G_r \rightarrow G_{r+s} \rightarrow \dots \rightarrow G^r \rightarrow G^{r+s} \rightarrow \dots \rightarrow 0$$

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$$\mathcal{F}, \mathcal{G} \text{ are } s\text{-interleaving} \Rightarrow W_\infty(\text{Dgm}(\mathcal{F}), \text{Dgm}(\mathcal{G})) \leq s$$

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$$\text{Then } |V_1(M) - V_1(M_t, t\sqrt{n})| \leq c_{n-1,n} \cdot t\sqrt{n} \cdot C.$$

THANK YOU